

(11) **EP 3 189 021 B1**

(12)

EUROPEAN PATENT SPECIFICATION

- (45) Date of publication and mention of the grant of the patent:14.05.2025 Bulletin 2025/20
- (21) Application number: 15838861.1
- (22) Date of filing: **03.09.2015**

- (51) International Patent Classification (IPC):

 C07C 6/06 (2006.01) C08G 61/08 (2006.01)

 C08G 61/12 (2006.01)
- (52) Cooperative Patent Classification (CPC):

 C08G 61/08; C08G 61/12; C08G 61/125;

 C08G 2261/135; C08G 2261/142; C08G 2261/1422;

 C08G 2261/1424; C08G 2261/144;

 C08G 2261/3322; C08G 2261/3324;

 C08G 2261/3325; C08G 2261/3342; C08G 2261/42;

 C08G 2261/44; C08G 2261/76; (Cont.)
- (86) International application number: **PCT/US2015/048395**
- (87) International publication number: WO 2016/036976 (10.03.2016 Gazette 2016/10)

(54) METATHESIS POLYMERIZATION METHODS

METATHESEPOLYMERISIERUNGSVERFAHREN
PROCÉDÉS DE POLYMÉRISATION PAR MÉTATHÈSE

(84) Designated Contracting States:

AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR

- (30) Priority: 03.09.2014 US 201462045271 P
 08.01.2015 US 201562101263 P
 20.03.2015 US 201562136069 P
 05.06.2015 US 201562171735 P
- (43) Date of publication of application: 12.07.2017 Bulletin 2017/28
- (73) Proprietor: University of Washington Seattle, WA 98105 (US)
- (72) Inventors:
 - BOYDSTON, Andrew J. Seattle, WA 98105 (US)
 - OGAWA, Kelli Seattle, WA 98105 (US)
 - GOETZ, Adam E. Seattle, WA 98105 (US)
 - DUNFORD, Damian Seattle, WA 98105 (US)

- MURPHY, Laura Seattle, WA 98105 (US)
- (74) Representative: MacLean, Martin Robert
 Mathys & Squire
 The Shard
 32 London Bridge Street
 London SE1 9SG (GB)
- (56) References cited:

 WO-A1-2014/022454 AT-B- 403 285

 US-A1- 2011 151 566
 - ADAM E. GOETZ ET AL: "Metal-Free Preparation of Linear and Cross-Linked Polydicyclopentadiene", JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 137, no. 24, 8 June 2015 (2015-06-08), pages 7572 - 7575, XP055466196, ISSN: 0002-7863, DOI: 10.1021/ jacs.5b03665
 - MOHAMMED AL-HASHIMI ET AL: "Ring-opening metathesis polymerization using polyisobutylene supported Grubbs secondgeneration catalyst", RSC ADV., vol. 4, no. 82, 1 January 2014 (2014-01-01), pages 43766 - 43771, XP055466465, DOI: 10.1039/C4RA08046G

Note: Within nine months of the publication of the mention of the grant of the European patent in the European Patent Bulletin, any person may give notice to the European Patent Office of opposition to that patent, in accordance with the Implementing Regulations. Notice of opposition shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

- WILLIAM J. SOMMER ET AL:
 "Poly(norbornene)-Supported N-Heterocyclic Carbenes as Ligands in Catalysis", ADVANCED SYNTHESIS & CATALYSIS, vol. 348, no. 15, 1 October 2006 (2006-10-01), DE, pages 2101 2113, XP055466472, ISSN: 1615-4150, DOI: 10.1002/adsc.200606135
- YAMAGUCHI ET AL.: "Green polymer chemistry: lipase-catalyzed synthesis of bio-based reactive polyesters employing itaconic anhydride as a renewable monomer.", POLYMER JOURNAL, vol. 46, 17 July 2013 (2013-07-17), pages 2 13, XP055416640, Retrieved from the Internet <URL:http://search.proquest.com/docview/1474308519/36CD90CF51B94795PQ/1? accountid=142944> [retrieved on 20151022]
- DOVE.: "Organic Catalysis for Ring-Opening Polymerization.", ACS MACRO LETTERS, vol. 1, 2012, pages 1409 - 1412, XP055416644, Retrieved from the Internet <URL:http://pubs.acs.org/doi/ pdf/10.1021/mz3005956> [retrieved on 20151022]
- WONG ET AL.: "Phototriggered, Metal-Free Continuous Assembly of Polymers for the Fabrication of Ultrathin Films.", ACS MACRO LETTERS, vol. 1, 2012, pages 1020 1023, XP055416648, Retrieved from the Internet <URL:http://www.researchgate.net/profile/Edgar_Wong/publication/263940405_Phototriggered_Metal-Free_Continuous_Assembly_of_Polymers_for_the_Fabrication_of_Ultrathin_Films/links/5464632e0cf2837efdb35684.pdf> [retrieved on 20151023]

- OGAWA ET AL.: "Metal-Free Ring-Opening Metathesis Polymerization.", J. AM. CHEM. SOC., vol. 137, no. 4, 8 January 2015 (2015-01-08), pages 1400 - 1403, XP055416654, Retrieved from the Internet <URL:http://cdn-pubs.acs.org/doi/ pdfplus/10.10211ja512073m> [retrieved on 20151019]
- (52) Cooperative Patent Classification (CPC): (Cont.) C08G 2261/80

Description

5

15

40

45

50

55

BACKGROUND

[0001] Ring-opening metathesis polymerization (ROMP) is a popular method for the preparation of a variety of functional polymers and is one of the most prevalent technologies that has emerged from the development of transition metal-based olefin metathesis catalysts. Applications in areas such as drug delivery, biomedical engineering, photovoltaics, and production of structural materials have each benefited from developments in ROMP methods. In general, ROMP is used to achieve living polymerizations, to provide polymers of narrow dispersity, to enable control over end group 10 functionality, and to incorporate a broad range of functional groups into polymer scaffolds and network materials.

[0002] Traditional ROMP initiators include transition metal complexes, such as Ru, W, or Mo-alkylidene complexes, along with a number of ill-defined species containing various mixtures of metal salts. Examples of Ru-, Mo-, and W-based alkylidene initiators are shown in Scheme 1 below.

Scheme 1. Transition metal ROMP catalysts.

PCy₃
CI
Ru=Ph
PCy₃

$$X = Br \text{ or } H$$

X

N-Ru=Ph

[0003] It is believed that each of these initiators proceeds through the same general mechanism involving a metallacyclobutane intermediate as shown in Scheme 2, below, where M is a metal and R is a substituent.

Scheme 2. Mechanism for metal catalyst mediated ROMP. [M]

[0004] Despite the positive attributes that traditional transition metal catalysts can provide to a ROMP process, a significant disadvantage common to transition metal-catalyzed ROMP is that metal-based byproducts can be difficult to remove from the polymeric materials. This can lead to complications with biological studies, conductivity measurements, or optical properties. Moreover, downstream reactivity of residual metallic species can also be problematic. At a minimum, the potential for metal contaminants often warrants quantitation by advanced techniques, such as inductively-coupled plasma mass spectrometry. Indeed, these issues have motivated a number of protocols for removing metal-based components, which, even when successful, add additional processing steps for material production.

[0005] Thus, there is presently a need for poly merization using organic initiators, for cross-linking reactions that do not require metal catalysts, and for reaction products that do not have trapped metals. The present disclosure seeks to fulfill these needs and provides further related advantages. AT4032858 describes a process for polymerizing cyclic olefins. Al-Hashimi et al (RSC Adv., 2014,4, 43766-43771) describes ring-opening metathesis polymerization using polyisobutylene

supported Grubbs second-generation catalyst. Sommer and Weck (Advanced Synthesis and Catalysis, 2006, 348: 15, 2101-2113) describes poly(norbornene)-supported N-heterocyclic carbenes as ligands in catalysis.

SUMMARY

5

15

20

25

30

35

40

45

50

[0006] The present invention is defined by the claims.

[0007] In one aspect this disclosure features a method of making a polymer by ring opening metathesis polymerization (ROMP) comprising:

10 providing a reaction mixture comprising

a monomer that comprises a cycloalkene moiety exhibiting ring

strain, an organic initiator that comprises a vinyl ether moiety, and

a photoredox mediator capable of facilitating electron transfer between the organic initiator and the monomer, and

exposing the reaction mixture to light to activate the organic initiator by oxidation, whereby the activated organic initiator is effective to polymerize the monomer to provide a polymer having constitutional units derived from the monomer.

DESCRIPTION OF THE DRAWINGS

[0008] The foregoing aspects and many of the attendant advantages of this invention will become more readily appreciated as the same become better understood by reference to the following detailed description, when taken in conjunction with the accompanying drawings.

FIGURES 1A and 1B are graphs showing M_n (circles) and D (triangles) vs % conversion of an embodiment of a monomer using initial monomer to initiator 1:2c ratio of 100:1 (FIGURE 1A) and 500:1 (FIGURE 1B).

FIGURE 2 is graph showing % conversion of an embodiment of a monomer vs time, solid lines indicate periods of exposure to blue LED light. Dotted lines indicate periods in the dark, data point labels indicate Mn values (kDa). Initial conditions: monomer to initiator 1:2a ratio = 100: 1, [1]₀ = 1.9 M.

FIGURE 3 is a graph showing Mn (\cdot) and % dicyclopentadiene ("DCPD") incorporated into an embodiment of a polymer polymer (Δ) vs. % DCPD loaded.

FIGURE 4 is an illustration of embodiments of reaction mechanisms and monomers.

FIGURE 5 is a graph showing the conversion vs. time for embodiments of monomers (monomers 2 (unfilled circle), 6 (filled circles), 7 (unfilled triangles), and 8 (filled triangles)) as determined by 1H-NMR spectroscopy.

FIGURE 6 is a schematic illustration of an embodiment of a crosslinking reaction of polyDCPD using a thiol-ene reaction (top) and a photograph (bottom) of an embodiment of a polymer in THF before crosslinking (bottom left) and after UV promoted crosslinking (bottom right).

DETAILED DESCRIPTION

[0009] The present invention is defined by the claims.

[0010] The present disclosure is directed to methods of making a polymer as defined in the claims, including exposing a reaction mixture including a strained cyclic unsaturated monomer and an organic initiator to a stimulus to provide an activated organic initiator, whereby the activated organic initiator is effective to polymerize the strained cyclic unsaturated monomer via a 4-membered carbocyclic intermediate to provide a polymer having constitutional units derived from the strained cyclic unsaturated monomer. The 4-membered carbocyclic intermediate can be formed by a [2+2] cycloaddition of the activated organic initiator and the strained cyclic unsaturated monomer.

[0011] The ROMP process can occur in a metal-free manner, using organic initiators that are metal-free. Thus, the resulting polymers can be metal-free. Without wishing to be bound by theory, it is believed that the polymerization process outcompetes reductive quenching reactions that may occur during the reaction, which otherwise afford cyclobutanes in a single olefin cross-methathesis reaction rather than a desired polymer by a polymerization propagation reaction.

[0012] The ROMP process and resulting polymers of the present disclosure have numerous advantages, such as obviating the need for removing metal-based components from polymers, providing polymerization processes that offer unique control over polymer end group functionality, providing polymers having certain main chain microstructures, and providing methods for spatiotemporal control over polymer production.

Definitions

10

20

30

[0013] At various places in the present specification, substituents of compounds of the disclosure are disclosed in groups or in ranges. It is specifically intended that the disclosure include each and every individual subcombination of the members of such groups and ranges. For example, the term ${}^{\text{"C}}_{1-6}$ alkyl" is specifically intended to individually disclose methyl, ethyl, ${}^{\text{C}}_{3}$ alkyl, ${}^{\text{C}}_{4}$ alkyl, ${}^{\text{C}}_{5}$ alkyl, and ${}^{\text{C}}_{6}$ alkyl.

[0014] It is further appreciated that certain features of the disclosure, which are, for clarity, described in the context of separate embodiments, can also be provided in combination in a single embodiment.

[0015] Conversely, various features of the disclosure which are, for brevity, described in the context of a single embodiment, can also be provided separately or in any suitable subcombination.

[0016] Groupings of alternative elements or embodiments of the invention disclosed herein are not to be construed as limitations. Each group member may be referred to and claimed individually or in any combination with other members of the group or other elements found herein. It is anticipated that one or more members of a group may be included in, or deleted from, a group for reasons of convenience and/or patentability. When any such inclusion or deletion occurs, the specification is deemed to contain the group as modified thus fulfilling the written description of all Markush groups used in the appended claims.

[0017] The terms "a," "an," "the" and similar referents used in the context of describing the invention (especially in the context of the following claims) are to be construed to cover both the singular and the plural, unless otherwise indicated herein or clearly contradicted by context. Recitation of ranges of values herein is merely intended to serve as a shorthand method of referring individually to each separate value falling within the range. Unless otherwise indicated herein, each individual value is incorporated into the specification as if it were individually recited herein. All methods described herein can be performed in any suitable order unless otherwise indicated herein or otherwise clearly contradicted by context. The use of any and all examples, or exemplary language (e.g., "such as") provided herein is intended merely to better illuminate the invention and does not pose a limitation on the scope of the invention otherwise claimed. No language in the specification should be construed as indicating any non-claimed element essential to the practice of the invention.

[0018] As will be understood by one of ordinary skill in the art, each embodiment disclosed herein can comprise, consist essentially of or consist of its particular stated element, step, ingredient or component. As used herein, the transition term "comprise" or "comprises" means includes, but is not limited to, and allows for the inclusion of unspecified elements, steps, ingredients, or components, even in major amounts. The transitional phrase "consisting of" excludes any element, step, ingredient or component not specified. The transition phrase "consisting essentially of" limits the scope of the embodiment to the specified elements, steps, ingredients or components and to those that do not materially affect the embodiment.

[0019] Furthermore, references have been made to patents and printed publications throughout this specification.

[0020] As used herein, the term "substituted" or "substitution" refers to the replacing of a hydrogen atom with a substituent other than H. For example, an "N-substituted piperidin-4-yl" refers to replacement of the H atom from the NH of the piperidinyl with a non-hydrogen substituent such as, for example, alkyl.

[0021] Terms used herein may be preceded and/or followed by a single dash, "-", or a double dash, "=", to indicate the bond order of the bond between the named substituent and its parent moiety; a single dash indicates a single bond and a double dash indicates a double bond. In the absence of a single or double dash it is understood that a single bond is formed between the substituent and its parent moiety; further, substituents are intended to be read "left to right" unless a dash indicates otherwise. For example, C_1 - C_6 alkoxycarbonyloxy and $-OC(O)C_1$ - C_6 alkyl indicate the same functionality; similarly arylalkyl and -alkylaryl indicate the same functionality.

[0022] As used herein, the term "alkyl" refers to a straight or branched chain hydrocarbon containing from 1 to 10 carbon atoms, unless otherwise specified. Representative examples of alkyl include, but are not limited to, methyl, ethyl, n-propyl, iso-propyl, n-butyl, sec-butyl, iso-butyl, tert-butyl, n-pentyl, isopentyl, neopentyl, n-hexyl, 3-methylhexyl, 2,2-dimethylpentyl, 2,3-dimethylpentyl, n-heptyl, n-octyl, n-nonyl, and n-decyl.

[0024] As used herein, the term "alkenyl" refers to a straight or branched chain hydrocarbon containing from 2 to 10 carbons, unless otherwise specified, and containing at least one carbon-carbon double bond. Representative examples of alkenyl include, but are not limited to, ethenyl, 2-propenyl, 2-methyl-2-propenyl, 3-butenyl, 4-pentenyl, 5-hexenyl, 2-heptenyl, 2-methyl-1-heptenyl, 3-decenyl, and 3,7-dimethylocta-2,6-dienyl.

[0025] As used herein, the term "alkenylene" refers to a linking alkenyl group.

[0026] As used herein, the term "alkynyl" refers to a straight or branched chain hydrocarbon group containing from 2 to 10 carbon atoms and containing at least one carbon-carbon triple bond. Representative examples of alkynyl include, but are not limited, to acetylenyl, 1-propynyl, 2-propynyl, 3-butynyl, 2-pentynyl, and 1-butynyl.

[0027] As used herein, "alkynylene" refers to a linking alkynyl group.

[0028] As used herein, the term "aryl" refers to a phenyl (i.e., monocyclic aryl), a bicyclic ring system containing at least

one phenyl ring or an aromatic bicyclic ring containing only carbon atoms in the aromatic bicyclic ring system or a multicyclic aryl ring system, provided that the bicyclic or multicyclic aryl ring system does not contain a heteroaryl ring when fully aromatic. The bicyclic aryl can be azulenyl, naphthyl, or a phenyl fused to a monocyclic cycloalkyl, a monocyclic cycloalkenyl, or a monocyclic heterocyclyl. The bicyclic aryl is attached to the parent molecular moiety through any carbon atom contained within the phenyl portion of the bicyclic system, or any carbon atom with the naphthyl or azulenyl ring. The fused monocyclic cycloalkyl or monocyclic heterocyclyl portions of the bicyclic aryl are optionally substituted with one or two oxo and/or thia groups. Representative examples of the bicyclic aryls include, but are not limited to, azulenyl, naphthyl, dihydroinden-1-yl, dihydroinden-2-yl, dihydroinden-3-yl, dihydroinden-4-yl, 2,3-dihydroindol-4-yl, 2,3-dihydroindol-5-yl, 2,3-dihydroindol-6-yl, 2,3-dihydroindol-7-yl, inden-1-yl, inden-2-yl, inden-3-yl, inden-4-yl, dihydronaphthalen-2-yl, dihydronaphthalen-3-yl, dihydronaphthalen-4-yl, dihydronaphthalen-1-yl, 5,6,7,8-tetrahydronaphthalen-1-yl, 5,6,7,8-tetrahydronaphthalen-2-yl, 2,3-dihydrobenzofuran-4-yl, 2,3-dihydrobenzofuran-5-yl, 2,3-dihydrobenzofuran-6-yl, 2,3-dihy drobenzofuran-7-yl, benzo[d][1,3]dioxol-4-yl, benzo[d][1,3]dioxol-5-yl, 2H-chromen-2-on-5-yl, 2H-chromen-2-on-6-yl, 2H-chromen-2-on-7-yl, 2H-chromen-2-on-8-yl, isoindoline-1,3-dion-4-yl, isoindoline-1,3-dion-5-yl, inden-1-on-4-yl, inden-1-on-5-yl, inden-1-on-6-yl, inden-1-on-7-yl, 2,3-dihydrobenzo[b][1,4]dioxan-5-yl, 2,3-dihydrobenzo[b][1,4]dioxan-6yl, 2H-benzo[b][1,4]oxazin3(4H)-on-5-yl, 2H-benzo[b][1,4]oxazin3(4H)-on-6-yl, 2H-benzo[b][1,4]oxazin3(4H)-on-7-yl, 2H-benzo[b][1,4]oxazin3(4H)-on-8-yl, benzo[d]oxazin-2(3H)-on-5-yl, benzo[d]oxazin-2(3H)-on-6-yl, benzo[d]oxazin-2(3H)-oxazinzin-2(3H)-on-7-yl, benzo[d]oxazin-2(3H)-on-8-yl, quinazolin-4(3H)-on-5-yl, quinazolin-4(3H)-on-6-yl, quinazolin-4(3H)-on-6-yl, lin-4(3H)-on-7-yl, quinazolin-4(3H)-on-8-yl, quinoxalin-2(1H)-on-5-yl, quinoxalin-2(1H)-on-6-yl, quinoxalin-2(1H)-on-7yl, quinoxalin-2(1H)-on-8-yl, benzo[d]thiazol-2(3H)-on-4-yl, benzo[d]thiazol-2(3H)-on-5-yl, benzo[d]thiazol-2(3H)-on-6-yl, b yl, and, benzo[d]thiazol-2(3H)-on-7-yl. In certain embodiments, the bicyclic aryl is (i) naphthyl or (ii) a phenyl ring fused to either a 5 or 6 membered monocyclic cycloalkyl, a 5 or 6 membered monocyclic cycloalkenyl, or a 5 or 6 membered monocyclic heterocyclyl, wherein the fused cycloalkyl, cycloalkenyl, and heterocyclyl groups are optionally substituted with one or two groups which are independently oxo or thia. Multicyclic aryl groups are a phenyl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic cycloalkyl, a bicyclic cycloalkenyl, and a bicyclic heterocyclyl; or (ii) two other ring systems independently selected from the group consisting of a phenyl, a bicyclic aryl, a monocyclic or bicyclic cycloalkyl, a monocyclic or bicyclic cycloalkenyl, and a monocyclic or bicyclic heterocyclyl, provided that when the base ring is fused to a bicyclic cycloalkyl, bicyclic cycloalkenyl, or bicyclic heterocyclyl, then the base ring is fused to the base ring of the a bicyclic cycloalkyl, bicyclic cycloalkenyl, or bicyclic heterocyclyl. The multicyclic aryl is attached to the parent molecular moiety through any carbon atom contained within the base ring. In certain embodiments, multicyclic aryl groups are a phenyl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic cycloalkyl, a bicyclic cycloalkenyl, and a bicyclic heterocyclyl; or (ii) two other ring systems independently selected from the group consisting of a phenyl, a monocyclic cycloalkyl, a monocyclic cycloalkenyl, and a monocyclic heterocyclyl, provided that when the base ring is fused to a bicyclic cycloalkyl, bicyclic cycloalkenyl, or bicyclic heterocyclyl, then the base ring is fused to the base ring of the a bicyclic cycloalkyl, bicyclic cycloalkenyl, or bicyclic heterocyclyl. Examples of multicyclic aryl groups include but are not limited to anthracen-9-yl and

[0029] As used herein, the term "arylene" refers to a linking aryl group.

10

20

30

45

50

[0030] As used herein, the term "cycloalkyl" refers to a monocyclic, bicyclic, or a multicyclic cycloalkyl ring system. Monocyclic ring systems are cyclic hydrocarbon groups containing from 3 to 8 carbon atoms, where such groups can be saturated or unsaturated, but not aromatic. In certain embodiments, cycloalkyl groups are fully saturated. Examples of monocyclic cycloalkyls include cyclopropyl, cyclobutyl, cyclopentyl, cyclopentenyl, cyclohexyl, cyclohexenyl, cycl and cyclooctyl. Bicyclic cycloalkyl ring systems are bridged monocyclic rings or fused bicyclic rings. Bridged monocyclic rings contain a monocyclic cycloalkyl ring where two non-adjacent carbon atoms of the monocyclic ring are linked by an alkylene bridge of between one and three additional carbon atoms (i.e., a bridging group of the form - $(CH_2)_{w}$ -, where w is 1, 2, or 3). Representative examples of bicyclic ring systems include, but are not limited to, bicyclo[3.1.1]heptane, bicyclo [2.2.1]heptane, bicyclo[2.2.2]octane, bicyclo[3.2.2]nonane, bicyclo[3.3.1]nonane, and bicyclo[4.2.1]nonane. Fused bicyclic cycloalkyl ring systems contain a monocyclic cycloalkyl ring fused to either a phenyl, a monocyclic cycloalkyl, a monocyclic cycloalkenyl, a monocyclic heterocyclyl, or a monocyclic heteroaryl. The bridged or fused bicyclic cycloalkyl is attached to the parent molecular moiety through any carbon atom contained within the monocyclic cycloalkyl ring. Cycloalkyl groups are optionally substituted with one or two groups which are independently oxo or thia. In certain embodiments, the fused bicyclic cycloalkyl is a 5 or 6 membered monocyclic cycloalkyl ring fused to either a phenyl ring, a 5 or 6 membered monocyclic cycloalkyl, a 5 or 6 membered monocyclic cycloalkenyl, a 5 or 6 membered monocyclic heterocyclyl, or a 5 or 6 membered monocyclic heteroaryl, wherein the fused bicyclic cycloalkyl is optionally substituted by one or two groups which are independently oxo or thia. Multicyclic cycloalkyl ring systems are a monocyclic cycloalkyl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic heteroaryl, a bicyclic cycloalkyl, a bicyclic cycloalkenyl, and a bicyclic heterocyclyl; or (ii) two other rings systems independently selected from the group consisting of a phenyl, a bicyclic aryl, a monocyclic or bicyclic heteroaryl, a monocyclic or bicyclic cycloalkyl, a monocyclic or bicyclic cycloalkenyl, and a monocyclic or bicyclic heterocyclyl. The multicyclic cycloalkyl is

attached to the parent molecular moiety through any carbon atom contained within the base ring. In certain embodiments, multicyclic cycloalkyl ring systems are a monocyclic cycloalkyl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic heteroaryl, a bicyclic cycloalkyl, a bicyclic cycloalkenyl, and a bicyclic heterocyclyl; or (ii) two other rings systems independently selected from the group consisting of a phenyl, a monocyclic heteroaryl, a monocyclic cycloalkyl, a monocyclic cycloalkenyl, and a monocyclic heterocyclyl. Examples of multicyclic cycloalkyl groups include, but are not limited to tetradecahydrophenanthrenyl, perhydrophenothiazin-1-yl, and perhydrophenoxazin-1-yl.

[0031] As used herein, "cycloalkylene" refers to a linking cycloalkyl group.

10

20

30

45

50

As used herein, "cycloalkenyl" refers to a monocyclic, bicyclic, or a multicyclic cycloalkenyl ring system. Monocyclic ring systems are cyclic hydrocarbon groups containing from 3 to 8 carbon atoms, where such groups are unsaturated (i.e., containing at least one annular carbon-carbon double bond), but not aromatic. Examples of monocyclic ring systems include cyclopentenyl and cyclohexenyl. Bicyclic cycloalkenyl rings are bridged monocyclic rings or fused bicyclic rings. Bridged monocyclic rings contain a monocyclic cycloalkenyl ring where two non-adjacent carbon atoms of the monocyclic ring are linked by an alkylene bridge of between one and three additional carbon atoms (i.e., a bridging group of the form -(CH₂)_w-, where w is 1, 2, or 3). Representative examples of bicyclic cycloalkenyls include, but are not limited to, norbornenyl and bicyclo[2.2.2]oct-2-enyl. Fused bicyclic cycloalkenyl ring systems contain a monocyclic cycloalkenyl ring fused to either a phenyl, a monocyclic cycloalkyl, a monocyclic cycloalkenyl, a monocyclic heterocyclyl, or a monocyclic heteroaryl. The bridged or fused bicyclic cycloalkenyl is attached to the parent molecular moiety through any carbon atom contained within the monocyclic cycloalkenyl ring. Cycloalkenyl groups are optionally substituted with one or two groups which are independently oxo or thia. Multicyclic cycloalkenyl rings contain a monocyclic cycloalkenyl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic heteroaryl, a bicyclic cycloalkyl, a bicyclic cycloalkenyl, and a bicyclic heterocyclyl; or (ii) two rings systems independently selected from the group consisting of a phenyl, a bicyclic aryl, a monocyclic or bicyclic heteroaryl, a monocyclic or bicyclic cycloalkyl, a monocyclic or bicyclic cycloalkenyl, and a monocyclic or bicyclic heterocyclyl. The multicyclic cycloalkenyl is attached to the parent molecular moiety through any carbon atom contained within the base ring. In certain embodiments, multicyclic cycloalkenyl rings contain a monocyclic cycloalkenyl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic heteroaryl, a bicyclic cycloalkyl, a bicyclic cycloalkenyl, and a bicyclic heterocyclyl; or (ii) two rings systems independently selected from the group consisting of a phenyl, a monocyclic heteroaryl, a monocyclic cycloalkyl, a monocyclic cycloalkenyl, and a monocyclic heterocyclyl.

[0033] As used herein, "cycloalkenylene" refers to a linking cycloalkenyl group.

[0034] As used herein, the term "heteroaryl" refers to a monocyclic, bicyclic, or a multicyclic heteroaryl ring system. The monocyclic heteroaryl can be a 5 or 6 membered ring. The 5 membered ring consists of two double bonds and one, two, three or four nitrogen atoms and optionally one oxygen or sulfur atom. The 6 membered ring consists of three double bonds and one, two, three or four nitrogen atoms. The 5 or 6 membered heteroaryl is connected to the parent molecular moiety through any carbon atom or any nitrogen atom contained within the heteroaryl. Representative examples of monocyclic heteroaryl include, but are not limited to, furyl, imidazolyl, isoxazolyl, isothiazolyl, oxadiazolyl, oxazolyl, pyridinyl, pyridazinyl, pyrimidinyl, pyrazinyl, pyrazolyl, pyrrolyl, tetrazolyl, thiadiazolyl, thiazolyl, thiazolyl, triazolyl, and triazinyl. The bicyclic heteroaryl consists of a monocyclic heteroaryl fused to a phenyl, a monocyclic cycloalkyl, a monocyclic cycloalkenyl, a monocyclic heterocyclyl, or a monocyclic heteroaryl. The fused cycloalkyl or heterocyclyl portion of the bicyclic heteroaryl group is optionally substituted with one or two groups which are independently oxo or thia. When the bicyclic heteroaryl contains a fused cycloalkyl, cycloalkenyl, or heterocyclyl ring, then the bicyclic heteroaryl group is connected to the parent molecular moiety through any carbon or nitrogen atom contained within the monocyclic heteroaryl portion of the bicyclic ring system. When the bicyclic heteroaryl is a monocyclic heteroaryl fused to a phenyl ring or a monocyclic heteroaryl, then the bicyclic heteroaryl group is connected to the parent molecular moiety through any carbon atom or nitrogen atom within the bicyclic ring system. Representative examples of bicyclic heteroaryl include, but are not limited to, benzimidazolyl, benzofuranyl, benzothienyl, benzoxadiazolyl, benzoxathiadiazolyl, benzothiazolyl, cinnolinyl, 5,6-dihydroquinolin-2-yl, 5,6-dihydroisoquinolin-1-yl, furopyridinyl, indazolyl, indolyl, isoquinolinyl, naphthyridinyl, quinolinyl, purinyl, 5,6,7,8-tetrahydroquinolin-2-yl, 5,6,7,8-tetrahydroquinolin-3-yl, 5,6,7,8-tetrahydroquinolin-4-yl, 5,6,7,8-tetrahydroisoquinolin-1-yl, thienopyridinyl, 4,5,6,7-tetrahydrobenzo[c][1,2,5]oxadiazolyl, and 6,7-dihydrobenzo[c][1,2,5]oxadiazol-4(5H)-onyl. In certain embodiments, the fused bicyclic heteroaryl is a 5 or 6 membered monocyclic heteroaryl ring fused to either a phenyl ring, a 5 or 6 membered monocyclic cycloalkyl, a 5 or 6 membered monocyclic cycloalkenyl, a 5 or 6 membered monocyclic heterocyclyl, or a 5 or 6 membered monocyclic heteroaryl, wherein the fused cycloalkyl, cycloalkenyl, and heterocyclyl groups are optionally substituted with one or two groups which are independently oxo or thia. The multicyclic heteroaryl group is a monocyclic heteroaryl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic heteroaryl, a bicyclic heterocyclyl, a bicyclic cycloalkenyl, and a bicyclic cycloalkyl; or (ii) two ring systems selected from the group consisting of a phenyl, a bicyclic aryl, a monocyclic or bicyclic heteroaryl, a monocyclic or bicyclic heterocyclyl, a monocyclic or bicyclic cycloalkenyl, and a monocyclic or bicyclic cycloalkyl. The multicyclic heteroaryl group is connected to the parent molecular moiety through any carbon atom

or nitrogen atom contained within the base ring. In certain embodiments, multicyclic heteroaryl groups are a monocyclic heteroaryl ring (base ring) fused to either (i) one ring system selected from the group consisting of a bicyclic aryl, a bicyclic heteroaryl, a bicyclic cycloalkenyl, and a bicyclic cycloalkyl; or (ii) two ring systems selected from the group consisting of a phenyl, a monocyclic heteroaryl, a monocyclic heteroaryl, a monocyclic cycloalkenyl, and a monocyclic cycloalkyl. Examples of multicyclic heteroaryls include, but are not limited to 5H-[1,2,4]triazino[5,6-b]indol-5-yl, 2,3,4,9-tetrahydro-1H-carbazol-9-yl, 9H-pyrido[3,4-b]indol-9-yl, 9H-carbazol-9-yl, and acridin-9-yl.

[0035] As used herein, "heteroarylene" refers to a linking heteroaryl group.

[0036] As used herein, the term "halo" or "halogen" includes fluoro, chloro, bromo, and iodo.

[0037] As used herein, "alkoxy" refers to an -O-alkyl group. Example alkoxy groups include methoxy, ethoxy, propoxy (e.g., n-propoxy and isopropoxy), t-butoxy, and the like.

[0038] As used herein, "aryloxy" refers to an -O-aryl group. Example aryloxy groups include phenyl-O-, substituted phenyl-O-, and the like.

[0039] As used herein, "haloalkyl" refers to an alkyl group having one or more halogen substituents. Example haloalkyl groups include CF_3 , C_2F_5 , CHF_2 , CCI_3 , $CHCI_2$, C_2CI_5 , and the like.

[0040] As used herein, "haloalkenyl" refers to an alkenyl group having one or more halogen substituents.

[0041] As used herein, "haloalkynyl" refers to an alkynyl group having one or more halogen substituents.

[0042] As used herein, "haloalkoxy" refers to an -O-(haloalkyl) group.

[0043] As used herein, "heteroalkyl" refers to an alkyl group having at least one heteroatom such as sulfur, oxygen, or nitrogen.

[0044] As used herein, "heteroalkylene" refers to a linking heteroalkyl group.

[0045] As used herein, "amino" refers to NH₂.

10

20

30

50

[0046] As used herein, "alkylamino" refers to an amino group substituted by an alkyl group.

[0047] As used herein, "dialkylamino" refers to an amino group substituted by two alkyl groups.

[0048] As used herein, "ether" refers to a group comprising an oxygen atom connected to two alkyl or aryl groups. As used herein, a "vinyl ether" refers to an ether comprising a carbon-carbon double bond bound to the oxygen atom.

[0049] As used herein, an "initiator" is a compound capable of initiating polymerization or other bond formation. In certain embodiments, the initiator can form a radical cation. In some embodiments, the initiator includes a vinyl ether moiety. In some embodiments, the initiator forms a radical cation in the presence of a photoredox mediator and light.

[0050] As used herein, an "organic initiator" refers to a polymerization initiator having one or more carbon atoms covalently linked to hydrogen, oxygen, and/or nitrogen. Certain carbon-containing compounds are not considered organic: carbides, carbonates and cyanides. As used herein, the organic initiator does not contain metals (i.e., metal-free).

[0051] As used herein, an "organic unsaturated initiator" refers to a polymerization initiator having carbon-carbon double bonds or carbon-carbon triple bonds.

[0052] As used herein, a "strained cyclic unsaturated monomer" refers to a cyclic or heterocyclic monomer having a ring strain, where the angles in a molecule are compressed or expanded compared to their optimal value. As an example, in bicyclic molecules, the amount of strain energy can be the sum of the strain energy in each individual ring.

[0053] As used herein, an "electron donating substituent" refers to a substituent that adds electron density to an adjacent pi-system, making the pi-system more nucleophilic. In some embodiments, an electron donating substituent has lone pair electrons on the atom adjacent to pi-system. In some embodiments, electron donating substituents have pi-electrons, which can donate electron density to the adjacent pi-system via hyperconjugation. Examples of electron donating substituents include O-, NR₂, NH₂, OH, OR, NHC(O)R, OC(O)R, aryl, and vinyl substituents.

[0054] As used herein, a "4-membered carbocyclic intermediate" refers to a polymerization intermediate molecule having a cyclobutane moiety.

[0055] As used herein, "electronic conjugation" refers to the overlap of one p-orbital with another across an intervening sigma bond. In transition metals, d-orbitals can be involved. A conjugated system has a region of overlapping p-orbitals, bridging the interjacent single bonds. Delocalization of pi electrons across all the adjacent aligned p-orbitals can occur, where the pi electrons do not belong to a single bond or atom, but to a group of atoms.

[0056] As used herein, "unsaturated bond" refers to a carbon-carbon double bond or a carbon-carbon triple bond.

[0057] As used herein, a "sacrificial co-initiator" refers to a molecule that oxidizes an organic polymerization initiator. The co-initiator is reduced in the process and is rendered inactive.

[0058] As used herein, a "non-sacrificial co-initiator" refers to a mediator.

[0059] As used herein, a "mediator" refers to a catalyst that accelerates a chemical reaction via an electron transfer. The mediator can participate multiple times in the electron transfer reaction (oxidation and reduction).

[0060] As used herein, a "photoredox mediator" or "photoredox catalyst" is a catalyst that harnesses the energy of light (e.g., visible light) to accelerate a chemical reaction via an electron transfer. In certain embodiments of the present application, photoredox mediators are organic molecules, such as pyrylium and acridinium salts.

[0061] As used herein, a "monomer" is a substance, each of the molecules of which can, on polymerization, contribute one or more constitutional units in the structure of a macromolecule or polymer.

[0063] As used herein, the term "constitutional unit" of a polymer refers to an atom or group of atoms in a polymer, comprising a part of the chain together with its pendant atoms or groups of atoms, if any. The constitutional unit can refer to a repeat unit. The constitutional unit can also refer to an end group on a polymer chain. For example, the constitutional unit of polyethylene glycol can be -CH₂CH₂O- corresponding to a repeat unit, or -CH₂CH₂OH corresponding to an end group.

[0064] As used herein, the term "repeat unit" corresponds to the smallest constitutional unit, the repetition of which constitutes a regular macromolecule (or oligomer molecule or block).

[0065] As used herein, the term "end group" refers to a constitutional unit with only one attachment to a polymer chain, located at the end of a polymer. For example, the end group can be derived from a monomer unit at the end of the polymer, once the monomer unit has been polymerized. As another example, the end group can be a part of a chain transfer agent or initiating agent that was used to synthesize the polymer.

[0066] As used herein, the term "terminus" of a polymer refers to a constitutional unit of the polymer that is positioned at the end of a polymer backbone.

[0067] As used herein, "living polymerization" refers to a method of synthesizing polymers using the well-known concept of addition polymerization, that is, polymerization wherein monomers are added one-by-one to an active site on the growing polymer chain but one wherein the active sites for continuing addition of another monomer are never fully eliminated other than on purpose. That is, the polymer chain is virtually always capable of further extension by the addition of more monomer to the reaction mixture unless the polymer has been capped, which may be reversible so as permit polymerization to continue or quenched, which is usually permanent. While numerous genera of living polymerizations are known, currently the predominant types are anionic, cationic, and radical living polymerizations.

[0068] As used herein, a "crosslink" or "cross-linking moiety", which can be used interchangeably, is a constitutional unit connecting two parts of a macromolecule or polymer.

³⁰ **[0069]** Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art.

Polymerization methods

10

20

40

45

50

55

[0070] Referring to Scheme 3, the ROMP process of the present disclosure is initiated by one-electron oxidation of an organic initiator, which can be, for example, a vinyl ether initiator A, to produce an activated radical cation B. Reaction of the activated radical cation B with a strained cyclic unsaturated monomer forms a [2 + 2] complex, such as C. Rather than undergoing reductive quenching to generate cyclobutanes, rapid ring-opening to alleviate ring-strain occurs, which completes the ROMP initiation event to arrive at D. Continued propagation with additional strained cyclic unsaturated monomers ultimately yields ROMP polymers, which can bear a reactive radical cation chain end E. Reductive quenching then provides neutral polymer F. The ROMP process does not rely on metal-based catalysts. In some embodiments, the ROMP process is free of metals.

[0071] Accordingly, in some embodiments, the present disclosure is directed to methods of making a polymer as defined in the claims, including exposing a reaction mixture including a strained cyclic unsaturated monomer and an organic initiator to a stimulus to provide an activated organic initiator, whereby the activated organic initiator is effective to polymerize the strained cyclic unsaturated monomer (e.g., via a 4-membered carbocyclic intermediate) to provide a polymer having constitutional units derived from the strained cyclic unsaturated monomer. The 4-membered carbocyclic intermediate can be formed by a [2+2] cycloaddition of the activated organic initiator and the strained cyclic unsaturated monomer.

[0072] This disclosure features a method of making a polymer as defined in claim 1.

[0073] The reaction mixture can include one or more monomers including a cycloalkene moiety; an initiator including a vinyl ether moiety; and optionally a photoredox mediator capable of facilitating electron transfer between the initiator and the monomer; and exposing the mixture to a stimulus as defined in the claims, to polymerize the monomer.

[0074] In some embodiments, the present disclosure provides a method of making a cross-linked polymer including performing a method as claimed and introducing a crosslinking moiety to the mixture to crosslink the polymer. In some embodiments, rather than crosslinking the polymer post-polymerization, the one or more monomers containing a cycloalkene moiety can be a crosslinker (e.g., a multifunctional monomer), such that a crosslinked polymer is produced during polymerization.

Reaction Mixture Components

[0075] As discussed above, the reaction mixture that is exposed to a stimulus to provide a polymer or a crosslinked polymer includes components as defined in the claims, such as organic initiators, co-initiators, mediators, monomers (and co-monomers), and/or crosslinkers. Each of these will be expanded in detail below.

Organic initiators

10

20

25

30

40

45

[0076] The claimed methods involve an organic initiator that comprises a vinyl ether moiety.

[0077] In some embodiments, the organic initiator is an organic unsaturated initiator. The organic unsaturated initiator can include, for example, one or more electron-donating substituents in electronic conjugation with an unsaturated bond.

[0078] In some embodiments, the electron-donating substituent is C_{1-20} alkoxy, aryloxy, C_{1-20} alkyl-NH-, aryl-NH-, C_{1-20} alkyl-S-, and/or aryl-S-.

[0079] In some embodiments, the electron-donating substituent is C_{1-10} alkoxyl, aryloxy, C_{1-10} alkyl-NH-, aryl-NH-, C_{1-10} alkyl-S-, and/or aryl-S-.

[0080] In some embodiments, the electron-donating substituent is C_{1-6} alkoxyl, aryloxy, C_{1-6} alkyl-NH-, aryl-NH-, C_{1-6} alkyl-S-, and/or aryl-S-.

[0081] In some embodiments, the organic unsaturated initiator is a compound of Formula (I)

wherein

 R_1 is selected from hydrogen, C_1 - C_{20} alkyl, C_2 - C_{20} alkenyl, cycloalkyl, aryl, and heteroaryl, wherein said C_1 - C_{20} alkyl is optionally substituted with aryl; and

R₂ is selected from C₁-C₂₀ alkyl, cycloalkyl, aryl, and heteroaryl.

[0082] In some embodiments, R_1 is selected from hydrogen, C_1 - C_{20} alkyl, C_2 - C_{20} alkenyl, aryl, and heteroaryl; and R_2 is selected from C_1 - C_{20} alkyl, cycloalkyl, aryl, and heteroaryl.

[0083] In some embodiments, R_1 is selected from hydrogen, C_1 - C_{20} alkyl, C_2 - C_{20} alkenyl, phenyl, and heteroaryl; and R_2 is selected from C_1 - C_{20} alkyl, cycloalkyl, aryl, and heteroaryl.

[0084] In some embodiments, R_1 is selected from hydrogen, C_1 - C_{10} alkyl, C_2 - C_{10} alkenyl, C_3 - C_{10} cycloalkyl, aryl, and heteroaryl, wherein said C_1 - C_{10} alkyl is optionally substituted with aryl.

[0085] In some embodiments, R_1 is selected from hydrogen, C_1 - C_6 alkyl, C_2 - C_6 alkenyl, C_3 - C_6 cycloalkyl, aryl, and heteroaryl, wherein said C_1 - C_6 alkyl is optionally substituted with aryl.

[0086] In some embodiments, R_1 is selected from hydrogen, C_1 - C_6 alkyl, C_3 - C_6 cycloalkyl, aryl, and heteroaryl, wherein said C_1 - C_6 alkyl is optionally substituted with aryl.

[0087] In some embodiments, R_1 is selected from hydrogen, C_1 - C_6 alkyl, C_3 - C_6 cycloalkyl, and aryl, wherein said C_1 - C_6

alkyl is optionally substituted with aryl.

[0088] In some embodiments, R_1 is selected from hydrogen, C_1 - C_6 alkyl, C_3 - C_6 cycloalkyl, and phenyl, wherein said C_1 - C_6 alkyl is optionally substituted with aryl.

[0089] In some embodiments, R_2 is selected from C_1 - C_{20} alkyl, C_3 - C_{10} cycloalkyl, aryl, and heteroaryl.

[0090] In some embodiments, R_2 is selected from C_1 - C_{20} alkyl, C_3 - C_{10} cycloalkyl, and aryl.

[0091] In some embodiments, R_2 is selected from C_1 - C_{10} alkyl, C_3 - C_{10} cycloalkyl, and aryl.

[0092] In some embodiments, R_2 is selected from C_{1-6} alkyl, C_3-C_6 cycloalkyl, and aryl.

[0093] In some embodiments, R_2 is selected from C_{1-6} alkyl and C_{3-6} cycloalkyl.

[0094] In some embodiments, R_2 is C_{1-6} alkyl.

[0095] It is understood that any of the above embodiments for the definitions of R₁ and R₂ can be combined to provide a compound of Formula (I).

[0096] For example, in some embodiments, R_1 is selected from hydrogen, C_1 - C_6 alkyl, C_2 - C_6 alkenyl, C_3 - C_6 cycloalkyl, aryl, and heteroaryl, wherein said C_1 - C_6 alkyl is optionally substituted with aryl; and R_2 is selected from C_1 - C_{10} alkyl, C_3 - C_{10} cycloalkyl, and aryl.

[0097] In some embodiments, R_1 is selected from C_1 - C_6 alkyl, C_3 - C_6 cycloalkyl, and aryl, wherein said C_1 - C_6 alkyl is optionally substituted with aryl; and R_2 is selected from C_1 - C_{10} alkyl, C_3 - C_{10} cycloalkyl, and aryl.

[0098] In some embodiments, R_1 is selected from C_1 - C_6 alkyl, C_3 - C_6 cycloalkyl, and aryl, wherein said C_1 - C_6 alkyl is optionally substituted with aryl; and R_2 is selected from C_1 - C_{10} alkyl and C_3 - C_{10} cycloalkyl.

[0099] In some embodiments, R_1 is selected from C_1 - C_6 alkyl, C_3 - C_6 cycloalkyl, and aryl, wherein said C_1 - C_6 alkyl is optionally substituted with aryl; and R_2 is C_1 - C_{10} alkyl.

[0100] In some embodiments, the organic unsaturated initiator is selected from

and

10

20

25

30

40

45

[0101] The organic initiator can be activated by oxidation. For example, the activated organic initiator (i.e., oxidized organic initiator) can include a cationic radical.

[0102] In some embodiments, in addition to an organic unsaturated initiator, the organic initiator includes an organic photoinitiator. For example, the organic photoinitiator can be selected from

⁵⁰ and

The organic photoinitiator is capable of bond formation when exposed to light.

[0103] The organic initiator to monomer (and co-monomer) ratio in a reaction mixture can range from 1:20 (e.g., from 1:50, from 1:100, from 1:200, from 1:500, from 1:1000, from 1:2000, from 1:2000, or from 1:3000, or from 1:4000) to 1:5000 (e.g., to 1:4000, to 1:3000, to 1:2000, to 1:1000, to 1:500, to 1:1000, or to 1:50). For example, the organic initiator to monomer (and co-monomer) ratio can range from 1:20 to 1:1000, from 1:1000 to 1:1000, from 1:200 to 1:2000, from 1:1000 to 1:5000, or from 1:1000 to 1:3000. In some embodiments, the organic initiator to monomer (and co-monomer) ratio is 1:20. In certain embodiments, the organic initiator to monomer (and co-monomer) ratio is 1:100, 1:200, 1:5000, 1:1000, 1:2000, 1:3000, or 1:4000.

10 Co-initiators (mediators)

[0104] The claimed methods involve a photoredox mediator (e.g. co-initiator) capable of facilitating electron transfer between the organic initiator and the monomer.

[0105] The co-initiator can be sacrificial or non-sacrificial. The sacrificial co-initiator refers to a molecule that oxidizes an organic polymerization initiator, and is reduced in the process and is rendered inactive. The non-sacrificial co-initiator can also be a mediator.

[0106] In some embodiments, a non-sacrificial co-initiator (i.e., a photoredox mediator) is a pyrylium salt, an acridinium salt, a thiopyrylium salt, a 2,3-dichloro-5,6-dicyano-1,4-benzoquinone, and/or a persulfate salt.

wherein:

 R_4 is each independently selected from H, C_{1-6} alkyl, C_{1-6} alkoxy, and aryl; and X^- is a counterion.

40

Me

Me

Me

Me

Me

Me

Mo

(IV)

wherein:

55

 R_9 is C_{1-10} alkyl; and X^- is a counterion.

[0107] In some embodiments, R_3 is each independently selected from H, C_{1-3} alkyl, C_{1-3} alkoxy, and aryl.

[0108] In some embodiments, R₃ is each independently selected from H, CH₃, OCH₃, and phenyl.

[0109] In some embodiments, R_4 is each independently selected from H, C_{1-3} alkyl, C_{1-3} alkoxy, and aryl.

[0110] In some embodiments, R_4 is each independently selected from H, CH_3 , OCH_3 , and phenyl.

[0111] In some embodiments, R_9 is C_{1-6} alkyl.

[0112] In some embodiments, R₉ is methyl.

[0113] In some embodiments, X^- is BF_4^- or ClO_4^- .

[0114] In some embodiments, X^- is BF_4^- .

15

20

30

35

40

[0115] In some embodiments, R_3 is each independently selected from H, CH_3 , OCH_3 , and phenyl; and X^- is BF_4^- .

[0116] In some embodiments, R_4 is each independently selected from H, CH_3 , OCH_3 , and phenyl; and X^- is BF_4^- .

[0117] In some embodiments, the compound of Formula (IV) is

25 [0118] In certain embodiments, the non-sacrificial co-initiator (i.e., the mediator, the photoredox mediator) is a compound of Formula (V)

$$R_5$$
 O X O A_5 O A_5 O A_5 O O A_5

wherein R₅ is each selected from alkyl, alkenyl, alkynyl, and aryl, and X⁻ is a counterion.

[0119] In some embodiments, R_5 is each selected from alkyl, alkenyl, and alkynyl.

[0120] In some embodiments, R_5 is each selected from branched or straight-chain alkyl groups having greater than six carbons.

[0121] In some embodiments, R_5 is each selected from C_{1-10} alkyl, C_{2-10} alkenyl, and C_{2-10} alkynyl.

[0122] In some embodiments, R_5 is each selected from C_{1-10} alkyl and C_{2-10} alkenyl.

[0123] In some embodiments, R_5 is each selected from C_{1-10} alkyl.

[0124] In some embodiments, R_5 is each independently selected from C_{1-6} alkyl.

[0125] In some embodiments, R₅ is each independently selected from C₁₋₆ alkyl and phenyl.

[0126] In some embodiments, X- is BF₄- and ClO₄-.

[0127] In some embodiments, R_5 is each selected from alkyl, alkenyl, and alkynyl.

[0128] In some embodiments, R_5 is each selected from branched or straight-chain alkyl groups having greater than six carbons; and X is BF_4 and CIO_4 .

[0129] In some embodiments, R_5 is each selected from C_{1-10} alkyl, C_{2-10} alkenyl, and C_{2-10} alkynyl; and X^- is BF_4^- and CIO_4^- ..

[0130] In some embodiments, R_5 is each selected from C_{1-10} alkyl and C_{2-10} alkenyl; and X^- is BF_4^- and CIO_4^- .

[0131] In some embodiments, R_5 is each selected from C_{1-10} alkyl; and X^- is BF_4^- and ClO_4^- .

[0132] In some embodiments, R_5 is each independently selected from C_{1-6} alkyl; and X-is BF_4 - and CIO_4 -.

[0133] In some embodiments, R_5 is each independently selected from C_{1-6} alkyl and phenyl; and X^- is BF_4^- and CIO_4^- .. [0134] In some embodiments, the non-sacrificial co-initiator is a compound of Formula (VI)

 R_6 R_6 R_6 R_6 R_6 R_6 R_6 R_6

wherein:

5

10

15

20

45

50

 R_6 is each independently selected from C_{1-20} alkyl, C_{2-20} alkenyl, phenyl, aryl, and heteroaryl groups, and Y- is a counterion.

[0135] In some embodiments, R_6 is each independently selected from aryl or heteroaryl optionally substituted with 1, 2, or 3 substituents each independently selected from alkyl, alkoxy, -O-alkenyl, and -O-alkynyl.

[0136] In some embodiments, R_6 is each independently aryl or heteroaryl optionally substituted with 1, 2, or 3 substituents each independently selected from C_{1-6} alkyl, C_{1-6} alkoxy, -O- C_{2-6} alkenyl, and -O- C_{2-6} alkynyl.

[0137] In some embodiments, R_6 is aryl optionally substituted with 1, 2, or 3 substituents each independently selected from C_{1-6} alkyl, C_{1-6} alkoxy, -O- C_{2-6} alkenyl, and -O- C_{2-6} alkynyl groups.

[0138] In some embodiments, R_6 is aryl optionally substituted with 1, 2, or 3 substituents each independently selected from C_{1-6} alkyl, C_{1-6} alkoxy, and $-O-C_{2-6}$ alkenyl.

[0139] In some embodiments, R_6 is aryl optionally substituted with 1, 2, or 3 substituents each independently selected from C_{1-6} alkyl and C_{1-6} alkoxy.

[0140] In some embodiments, R_6 is anyloptionally substituted with 1, 2, or 3 substituents each independently selected from C_{1-3} alkyl and C_{1-3} alkoxy.

[0141] In some embodiments, Y is an anion selected from BF_4^- and CIO_4^- .

[0142] The co-initiator to monomer (and co-monomer) ratio in a reaction mixture can range from 1:100 (e.g., from 1:500, from 1:1000, from 1:5000, from 1:5000, from 1:5000, from 1:50000, from 1:500000, or from 1:750,000 to 1:1,000,000 (e.g., to 1:750,000, to 1:500,000, to 1:100,000, to 1:500,000, to 1:100,000, to 1:100,000, to 1:100,000, or to 1:500). For example, the organic initiator to monomer (and co-monomer) ratio can range from 1:100 to 1:100,000, from 1:100 to 1:100,000, from 1:100 to 1:100,000, from 1:100 to 1:500,000, from 1:100,000 to 1:500,000. In some embodiments, the co-initiator to monomer (and co-monomer) ratio is 1:100. In certain embodiments, the organic initiator to monomer ratio is 1:1,000,000, 1:500,000, 1:500,000, 1:500,000, or 1:750,000.

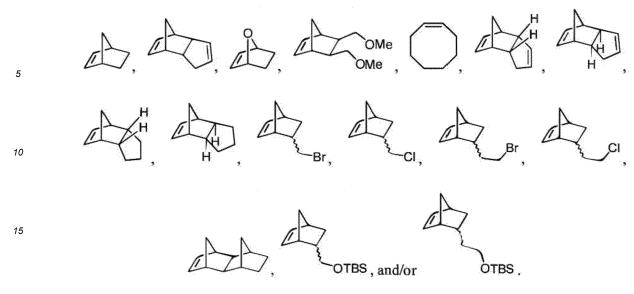
[0143] In some embodiments, instead of a non-sacrificial co-initiator, the reaction mixture includes a sacrificial co-initiator, which can be an oxidizing agent. For example, the sacrificial co-initiator can be Na_2SO_5 , KHSO $_5$, $Na_2S_2O_8$, and/or $(NH_4)_2S_2O_8$. The sacrificial co-initiator can oxidize the initiator so that the initiator can react with a monomer.

[0144] In some embodiments, the sacrificial or non-sacrificial co-initiator is soluble in an organic solvent and/or miscible with the monomers of the present disclosure. When the sacrificial or non-sacrificial co-initiator is soluble or miscible in monomers, and the mixture of sacrificial or non-sacrificial co-initiator and monomers is liquid at either ambient or elevated temperatures, then the polymerization reaction can occur in the absence of a solvent. When the polymerization reaction is solvent-free, the overall efficiency of material production can be increased (by eliminating solvent costs, and solvent removal procedures after the polymerization), and the polymerization reaction can allow for materials such as cross-linked photo-cured resins to be produced without void spaces or impurities that can be caused by solvent entrapment.

Monomers (and co-monomers)

[0145] As discussed above, the reaction mixture includes a strained cyclic unsaturated monomer. Methods of the claims involve a monomer that comprises a cycloalkene moiety exhibiting ring strain. In some embodiments, the strained cyclic unsaturated monomer has a ring strain of at least 20 kcal/mol. In some embodiments, the strained cyclic unsaturated monomer is a strained cycloalkene. The strained cycloalkene can be, for example, norbornene, cyclobutene, cyclooctene, cyclodecene, cyclododecatriene, and/or derivatives thereof.

[0146] In some embodiments, the strained cycloalkene is



In some embodiments, the strained cycloalkene is

and/or

20

25

30

35

40

45

55

[0148] In some embodiments, the strained cycloalkene is branched. In some embodiments, the strained cycloalkene includes a bicyclic [2.2.1] heptane moiety.

[0149] In some embodiments, polymers with pendant alcohol and silyl groups can be made using the methods of the present disclosure, by providing a reaction mixture having a monomer including a cycloalkene moiety that includes a silyl ether.

[0150] In certain embodiments, the monomer that includes a cycloalkene moiety having a silyl ether has a Formula (VII)

$$R_{7}$$
 O Si_{R} (VII),

wherein R₇ is selected from alkyl, alkenyl, alkynyl, and poly(ethylene oxide), and R₈ is independently selected from 50 hydrogen, alkyl, alkenyl, and alkynyl. The silyl ether-containing monomer can be used as the sole monomer in a reaction mixture, or in combination with other monomers, in any ratio.

[0151] In some embodiments, R_7 is selected from C_{1-6} alkyl, C_{2-6} alkenyl, C_{2-6} alkynyl, and polyethylene oxide.

[0152] In some embodiments, R_7 is selected from C_{1-6} alkyl, C_{2-6} alkenyl, and polyethylene oxide. In some embodiments, R_7 is selected from C_{1-6} alkyl and polyethylene oxide.

[0154] In some embodiments, R_8 is independently selected from hydrogen, C_{1-6} alkyl, C_{2-6} alkenyl, and C_{2-6} alkynyl, provided that at least one R_8 is not hydrogen.

 $\textbf{[0155]} \quad \text{In some embodiments, R}_8 \text{ is independently selected from hydrogen, C}_{1\text{-}6} \text{ alkyl, and C}_{2\text{-}6} \text{ alkenyl, provided that at at at a constant of the constant of t$

least one R₈ is not hydrogen.

[0156] In some embodiments, R_8 is independently selected from hydrogen and C_{1-6} alkyl provided that at least one R_8 is not hydrogen.

[0157] In certain embodiments, the silyl monomer has the formula

5

10

[0158] The silyl ether-containing monomers can be readily polymerized either as the sole monomers of the reaction or as part of a mixture of monomers using the methods of the present disclosure, and can provide alkyl-, dialkyl-, or trialkylsiloxy oligomers or polymers. Furthermore, the silvl ether group can be readily removed using standard protocols such that the silyl ethers in the final polymer can be partially or entirely converted into a hydroxyl functional group (to produce a polyalcohol). An illustrative example for polymerization of a silyl ether-containing monomer is provided in Example 4, below.

Crosslinkers

20

[0159] The methods of the present disclosure can provide a crosslinked polymer that is based upon hydrocarbons and/or that is substantially free of metals.

[0160] For example, after polymerization, the methods can further include crosslinking the polymer. The crosslinkers have two or more reactive groups, such as thiol, hydroxy, amino, or carboxylic acid groups. In some embodiments, the crosslinkers further include a spacer in between the two or more reactive groups, such as an arylene, an alkylene, a diarylsulfanyl, or a polyethylene glycol spacer.

[0161] In some embodiments, crosslinking the polymer includes reacting the polymer with a crosslinker selected from:

30

25

35

40

45

50

55

HS
$$n=0-12$$

$$HS \underbrace{ O O }_{n=1-20} O \underbrace{ N SH}_{n=1-20}$$

16

$$H_2N$$

$$0$$

$$0$$

$$0$$

$$NH_2$$

$$n=1-20$$

5

$$H_2N$$
 N N N

10

$$HO \longrightarrow n$$
 OH $n=0-12$

15

$$HO \longrightarrow O \longrightarrow OH$$
 $n=1-20$

20

²⁵ and

30

35

40

45

[0162] In some embodiments, rather than crosslinking a polymer in a post-polymerization reaction, a crosslinked polymer can be made during polymerization, using multifunctional monomers. For example, a reaction based upon hydrocarbons and/or that substantially excludes metals can have a reaction mixture that further includes monomers having at least two cycloalkene moieties, which results in a direct, single step crosslinking to produce a crosslinked polymer. The crosslinked polymer can have high toughness and durability, and a one-step synthesis of crosslinked polymer can be amenable to photo-curing processes and vat photo-polymerizations. In certain embodiments, the reaction mixtures of the present disclosure include a strained cyclic unsaturated monomer having a single unsaturated moiety and a multifunctional monomer having two or more cycloalkene moieties. The ratio between a strained cyclic unsaturated monomer having a single unsaturated moiety and a multifunctional monomer can be from about 10,000:1 to about 10:1 (e.g., from about 10,000:1 to about 10:1, from about 10,000:1 to about 10:1).

[0163] In some embodiments, the multifunctional monomers include at least two cycloalkene moieties coupled through a linker. In certain embodiments, such a monomer has the formula (VIII):

(VIII)

50

wherein L is a linker selected from alkylene, alkenylene, alkynylene, polysiloxane, and poly(ethylene oxide).

[0164] In some embodiments, L is a linker selected from C_{1-20} alkylene, C_{2-20} alkenylene, C_{2-20} alkynylene, polysiloxane, and poly(ethylene oxide).

[0165] In some embodiments, the multifunctional monomer is

55

Stimulus

5

10

20

30

45

55

[0166] The stimulus used to initiate the ROMP reaction is light.

[0167] The light can be, for example, ultraviolet light having a wavelength of about 250-350 nm and/or visible light having a wavelength of about 350-750 nm.

[0168] In certain embodiments, a source of the light is a blue LED. In certain other embodiments, the light source is a white light. The white light can include fluorescent bulbs and digital light processing (DLP) projectors, including commercially available DLP projectors. DLP projectors can enable polymerization processes in which the DLP projector projects specific images that dictate the shape of the polymerized material. Methods using light sources can further include layer-by-layer protocols or additive manufacturing (three-dimensional printing) by vat polymerization. The additive manufacturing process can include polymerizing a reaction mixture of the present disclosure by exposing the reaction mixture to light in a layer-by-layer manner to provide a three-dimensional object.

[0169] For example, in some embodiments, the additive manufacturing process includes providing a method as claimed and exposing a second portion of the mixture adjacent to the first polymerized portion of the mixture to light to polymerize a second portion of the mixture. The polymerization is continued in a layer-by-layer manner to provide a three-dimensional object.

Polymerization conditions

[0170] In certain embodiments, the methods of the present disclosure are conducted under oxygen-free and/or water-free environments. The oxygen-free and/or water-free environment can be accomplished with an inert-atmosphere drybox, filled with, for example, nitrogen or argon gas, and/or with anhydrous solvent and other anhydrous reagents.

[0171] In certain other embodiments, the methods of the present disclosure are conducted in ambient conditions, or other oxygen-containing and/or water-containing conditions. In some embodiments, the reaction mixture can contain about 1% or less of water. For example, when reagent grade solvent is used without any protocols to remove water, and the polymerization is conducted in an open container in ambient atmosphere, the polymerization can achieve conversion of monomer and final polymer molecular weight similar to those obtained under air-free conditions. The ability to effect polymerization under ambient conditions is important as it greatly simplifies the technical aspects of the polymerization, gives important insights into the reactivity of the reagents, and enables a broader scope of applications. Specific applications may include systems for reaction injection molding, additive manufacturing (3D printing), or other applications in which on-demand curing is desirable.

Polymer properties

[0172] The polymers made by the methods of the present disclosure can have an alkenyl substituted with a C₁-C₂₀ alkoxy moiety at a polymer terminus. The polymers can be colorless, white, or beige in coloration. In some embodiments, the polymer is metal-free.

[0173] Compared to metal-catalyzed ROMP polymers, the polymers made by the methods of the present disclosure have increased stability (i.e., are less susceptible to degradation), are not as darkly colored, and can be functionalized with a variety of functional groups, such as ester and alcohol moieties.

Articles

[0174] The polymers made by the methods of the present disclosure can be used to make a variety of articles. For example, the polymers can be incorporated into dental implants, vehicle components (vehicle body parts), corrosion-resistant casings, protective eye equipment, ballistic impact resistant materials (e.g., ballistic panels), prosthetics, orthotics, athletic equipment, electronic devices, and/or optics devices.

Cross metathesis and ring-closing metathesis

[0175] While the methods of the present disclosure are useful in ROMP reactions, other reactions are described as comparative examples. Scheme 4 provides generalized depictions of the reactions that can be achieved using the methods of the present disclosure for olefin metathesis (comparative example).

Scheme 4A. Photoredox catalyst-mediated olefin cross-metathesis.

Scheme 4B. Photoredox catalyst-mediated ring closing metathesis

Scheme 4C. Catalytic olefin cross metathesis

$$R_B$$
 CH_2 $H_2C=CH_2$
 H_2C OR_A R_AO R_B
 R_C R_B R_C CH_2

Scheme 4D. Catalytic ring-closing metathesis

$$H_2C=CH_2$$
 $H_2C=CH_2$
 CH_2
 CH_2
 CH_2
 CH_2
 CH_2
 CH_2
 CH_2

- [0176] In each of the reactions shown in Schemes 4A to 4D, an organic initiator or catalyst, such as a vinyl ether, is used. Oxidation of the organic initiator or catalyst leads to formation of a [2+2] complex with an alkene reactant and subsequent breakdown of the complex results in formal olefin metathesis. In some embodiments, R_A , R_B , R_C , and R_D groups can each independently be alkyl or aryl groups, which may be optionally substituted. In some embodiments (e.g., Schemes 4A and 4B), a photoredox catalyst is used in the reaction.
- **[0177]** Scheme 4A shows an olefin cross metathesis (CM) using a stoichiometric (1:1) molar ratio of a vinyl ether and alkene. Scheme 4B shows a metathesis reaction in which the vinyl ether and alkene moieties are tethered, such that the intramolecular reaction accomplishes a ring-closing metathesis (RCM) event. In some embodiments, both the CM and RCM reactions can be accomplished using catalytic amounts of vinyl ether, as depicted in Scheme 1C and D. Although the

method is not limited to vinyl ethers bearing only an ethenyl group, the use of vinyl ethers benefits from formation of gaseous ethylene (CH₂CH₂) which helps to drive the reactions toward completion. Without wishing to be bound by theory, it is believed that the ability of the vinyl ether to exchange R groups via metathesis events facilitates catalytic turnover. **[0178]** The following examples are provided to illustrate, not limit, the invention.

[0179] Example 1 (comparative example) provides an electro-organic ROMP method for making polymers. Example 2 represents a protocol for organic-initiated ROMP. The approach utilizes one-electron oxidation of electron-rich vinyl ethers to initiate the process, which can be achieved either electrochemically or via photoredox processes. As will be described below, a photoredox approach enabled high yields of polymerization in short reaction times under mild conditions. The methods of the present application enable unique synthetic control over end group functionality. The success of the photoredox mediation provides new opportunities for spatiotemporal control over production of ROMP-based polymers and materials. Example 3 demonstrates the preparation of linear, non-crosslinked polydicyclopentadiene using a photoredox-mediated organic-initiated ROMP procedure. The monomer, endo-DCPD, can also be copolymerized with norbornene to prepare polymers with varied amounts of cyclopentene units. Example 4 represents a protocol for polymerizing a silyl ether-containing monomer and for deprotecting the resulting polymer.

EXAMPLES

10

15

20

30

35

40

45

50

55

Example 1. Electro-organic ROMP (comparative example)

[0180] Electro-organic ROMP (eo-ROMP) is believed to undergo the mechanistic steps described previously in Scheme 3, where ane-electron anodic oxidation of a vinyl ether A produces the activated radical cation B; subsequent formation of a [2+2] complex C, followed by fragmentation and ring-opening to alleviate ring strain completes the ROMP initiation event arriving at D. Continued propagation with additional cycloalkane monomers ultimately yields ROMP polymers, which can bear a reactive radical cation chain end E. Reductive quenching provides the neutral species F.

[0181] Referring to Scheme 5, co-ROMP was demonstrated with a series of strained monomers 1a - 1e and vinyl ether initiators 2a - 2c. Cyclic voltammograms (CVs) of the initiators showed oxidation potentials (E_{ox}) between 1.43 and 1.30 V (vs SCE). Polymerization conditions included using an undivided cell with a carbon fiber anode and cathode, non-aqueous reference electrode (Ag/AgNO₃), CH₃NO₂ as solvent (tetrahydrofuran, dioxane, CH₂Cl₂ and other organic co-solvents may also be used), and LiClO₄ (1.0 M) as supporting electrolyte. Polymerizations were conducted using a constant potential typically between 1.4 and 1.8 V (vs SCE). Polymerizations were conducted under nitrogen atmosphere using anhydrous reagents and solvents. The initial monomer concentration ([M]₀) was 1.5 M and initial initiator concentration ([I]₀) was 0.015 M.

Scheme 5. Monomers and initiators for eo-ROMP

monomers:

initiators:

Table 1. Polymers from eo-ROMP.

Monomer	Initiator	$[M]_0/[I]_0$	M _w (kDa)	
1a	2a	100/1	25.2	
1b	2b	83/1	14.1	

(continued)

Monomer	Initiator	$[M]_0/[I]_0$	M _w (kDa)
1c	2c	93/1	12.2
1d	2a	100/1	8.6
1e	2c	96/1	4.2

[0182] Homogeneous electrochemical mediators were able to facilitate the eo-ROMP method at lower cell potentials. Specifically, the use of triarylamines such as triphenylamine, tris(4-bromophenyl)amine, and tris(4-nitrophenyl)amine could be used to effect eo-ROMP at oxidation potentials as low as 1.0 V vs SCE. The use of the mediator was carried out by using a 2:1 molar ratio of alkene initiator to mediator. The mediator was added at the beginning of the polymerization, and the cell potential was then held constant during the polymerization.

Representative example of eo-ROMP without electrochemical mediators

[0183] All reactions were done under a nitrogen atmosphere. In a 3-neck round bottom flask, 1.60 g (15.0 mmol) LiClO₄, 2.12 g (22.5 mmol) norbonene, and 0.03 mL (0.270 mmol) ethyl propenyl ether were dissolved in 15 mL of CH₃NO₂ (norbomene was not fully soluble). The flask was capped with septa containing a carbon fiber working electrode, double junction reference electrode (0.1 M TBAB/0.01 M AgNO₃), and a carbon fiber counter electrode. A constant potential of 1.30 V vs SCE was applied with stirring of the solution. Once the reaction was complete, 0.200 g of hydroquinone was added to the solution. The solution was stirred for 10 minutes before being poured into MeOH with vigorous stirring. The precipitate was collected via vacuum filtration, washed with MeOH, and dried under vacuum.

Representative example of eo-ROMP with an electrochemical mediator

[0184] All reactions were done under a nitrogen atmosphere. In a 3-neck round bottom flask, 1.60 g (15.0 mmol) LiClO₄, 0.065 g (0.135 mmol) tris(4-bromophenyl) amine, 2.12 g (22.5 mmol) norbornene, and 0.03 mL (0.270 mmol) ethyl propenyl ether were dissolved in 15 mL of CH₃NO₂ (norbomene was not fully soluble). The flask was capped with septa containing a carbon fiber working electrode, double junction reference electrode (0.1 M TBAB/0.01 M AgNO₃), and a carbon fiber counter electrode. A constant potential of 1.01 V vs SCE was applied with stirring of the solution. The solution turned blue immediately upon electrolysis. Once the reaction was complete, 0.200 g of hydroquinone was added to the solution. The solution was stirred for 10 minutes before being poured into MeOH with vigorous stirring. The precipitate was collected via vacuum filtration, washed with MeOH, and dried under vacuum.

Example 2. Photoredox ROMP

5

10

15

20

30

35

40

45

50

55

[0185] Norbornene (1) was used as a monomer, as this scaffold exhibits relatively high ring strain among common ROMP monomers (Scheme 6). To investigate the direct oxidation of vinyl ethers and propensity for the ensuing radical cation to initiate ROMP, bulk electrolysis was conducted on solutions of 1 containing vinyl ether initiators 2a - 2c. After electrolysis of 1 and 2a for 3 h, the reaction solution and electrodes were analyzed for any presence of polynorbornene (PNB). A small amount of material was obtained (3 % yield), and analysis by NMR spectroscopy revealed signals consistent with PNB. Moreover, end group signals consistent with the vinyl ether were observed even after precipitation of the polymer to remove any residual small molecule initiator. Gel permeation chromatography (GPC) analysis revealed a number-average molecular weight (M_n) of 11.8 kDa ($\Phi = 2.2$). Similar results were obtained using initiators 2b and 2c. Although the yields of PNB were low, the results confirm that an anodic oxidation of 2 could initiate polymerization of 1 to give polymer structures consistent with a ROMP mechanism.

Scheme 6. Monomers and initiators.

[0186] Having confirmed the overall reactivity and viability of an electrolytic ROMP protocol, a photoredox polymerization was carried out.

[0187] Photoredox initiation and control of polymerizations can be a powerful method for achieving spatiotemporal

control over polymerizations and organic-initiated methods. Notably, photoredox polymerization strategies have focused almost exclusively on controlled radical addition polymerizations in which a redox process is inherent in the activation/deactivation of the polymer chain end. Traditional metal-mediated ROMP, on the other hand, is redox-neutral at all stages, and the metal complex is covalently attached to each chain end until chemically cleaved at the end of the polymerization. [0188] Pyrylium and acridinium salts 3a - 3c (Scheme 7) have been identified as good candidates for facilitating photooxidation. These mediators are capable of facilitating electron transfer when in the photo-excited state, and were expected to be good oxidizers for the vinyl ether initiators 2a-2c. Whereas the initiators 2a - c display oxidation potentials in the range of 1.43 to 1.30 V vs SCE, the oxidizing power of excited state pyrylium and acridinium cations have been calculated to be 1.74 and 2.06 V vs SCE, respectively. To explore the photoredox initiation of ROMP, an initial monomer concentration of ca. 2.3 M in CH_2CI_2 was used with a monomer to initiator (1:2a) molar ratio of ca. 100:1. Using a blue LED light source (λ = 450 -480 nm) in the presence of 3, the best yields were obtained from the pyrylium tetrafluoroborate salt 3a. In general, 3b gave lower yields than 3a, and 3c did not produce any detectable PNB. Thus, all additional experiments were conducted using 3a. The structure of the PNB was confirmed by ¹H NMR analysis in comparison with an authentic sample prepared via traditional ROMP using the Grubbs 1st-generation initiator. The glass transition temperature (T_d) of samples prepared by either traditional or photoredox mediated ROMP were also found to be consistent with one another. Specifically, the T_q of the ROMP polymer prepared by Ru-mediated ROMP (M_n = 49.5 kDa) was found to be 53.3 °C, versus 49.5 °C for a sample prepared by photoredox initiation (M_n = 43.9 kDa).

Scheme 7. Pyrylium and acridinium salts

OMe

OMe CIO_4 OMe OM

10

35

40

45

50

55

[0189] Each initiator 2a-2c was found to give PNB in good yield via the photoredox method; as shown in Table 2. In absence of blue LED light, but with exposure to ambient lighting from the fume hood, slow conversion to give PNB was observed (Table 2, entry 11). In complete absence of light, no polymer was observed. In general, polymerizations under optimized conditions were found to reach maximum conversion in ca. 30 - 150 min.

5 10 15 20 25 30 35 40 45 50

55

Table 2. Polymerization results and GPC data for photoredox mediated ROMP

		Ф	1.7	1.6	1.6	1.4	1.6	1.4	1.4	1.5	1.5	1.6	1.3	
		M _{n,exp} [kDa]	15.1	14.9	15.8	13.5	19.2	8.1	11.5	22.2	43.9	60.2	7.2	
NB	M _n , theo [kDa]	8.0	8.4	0.6	7.8	8.9	4.3	5.0	23.6	33.4	57.4	5.0		
	R. R. PNB	Time (min)	30	30	30	150	120	09	120	120	09	120	2580	
R~~~OR' (2)	3a (3), CH ₂ Cl ₂ , blue LED light	Cconversion (%) ^c	88 (73)	92 (80)	87 (67)	80 (76)	90 (73)	95 (78)	93 (58)	51 (25)	72 (50)	61 (47)	53 (29)	
, ж	3a (3), CH	q(M) ⁰ [M]	2.3	2.3	2.4	2.3	2.3	2.2	1.3	5.3	2.2	2.3	2.3	
		1:2:3a	97:1:0.03	97 : 1 : 0.03	106:1:0.03	104:1:0.10	104:1:0.25	48:1:0.03	57:1:0.03	491:1:0.03	494:1:0.03	1000 : 1 : 0.03	103 : 1 : 0.03	
		Initiator	2a	2b	2c	2c	2c	2c	2c	2c	2c	2c	2c	
		Entry	_	2	3	4	5	9	7	8	6	10	11d	

a) Initial molar ratios of monomer, initiator, and mediator.

b) Initial monomer concentration.

c) Conversion of monomer, as determined by 1H NMR analysis; isolated yields after precipitation given in parentheses.

d) Reaction mixture exposed to ambient light from fume hood, without exposure to blue LED light source. Mn, theo is theoretical number-average molecular weight calculated from initial monomer to initiator ratio and % conversion of monomer. Mn, exp is experimental number-average molecular weight, calculated from a weight-average molecular weight determined by GPC using multi-angle laser light scatters. Dispersities (B) determined by GPC analysis.

[0190] The amount of photoredox mediator 3a that was required for successful polymerization was found to be quite low. Specifically, consistent $M_{\rm n}$ values and % conversions were observed when using mediator to initiator ratios (3a:2) of 0.03 to 0.25 (Table 2, entries 3 - 5). Higher loading of mediator did manifest some bimodality in the GPC traces, with high molecular weight shoulders appearing with increasing amounts of mediator. It is believed that this may be due to increased concentration of active chain ends and therefore greater extent of chain-chain coupling. The initial monomer concentration could be varied, with even very high concentrations (5.3 M) giving successful polymerizations (entry 8). This indicates that bulk polymerization using liquid monomers is possible using the methods of the present invention. Varying the initial monomer to initiator ratio provided some degree of control over the final $M_{\rm n}$ (entries 3, 6 - 10). A consistent correlation was observed between the theoretical and experimental $M_{\rm n}$ values, with experimental values generally being greater than expected for the given monomer to initiator ratios and % conversions. Dispersities were found to vary between 1.3 and 1.7 across different experiments, and remained consistent during the course of each polymerization.

[0191] During the course of the polymerization, a gradual increase in M_n was observed with increasing conversion of monomer, consistent with the chain growth nature of ROMP (FIGURES 1A and 1B). Although there was a positive correlation, the linearity was not as precise as traditional "living" ROMP using, for example, Grubbs 3^{rd} -generation initiator. This could be ascribed to the relative rates of initiation and propagation in the photoredox method, or any number of early termination events.

[0192] Mechanistically, without wishing to be bound by theory, it is believed that oxidation of the vinyl ether proceeds via electron transfer to the excited pyrylium cation to give the vinyl ether radical cation (e.g., $A \rightarrow B$, Scheme 3, above). Notably, the propagating radical cation chain end likely forms a dynamic redox couple with the reduced pyrylium species. The reversibility would manifest an ability to reductively quench and terminate polymerization, and then reinitiate upon exposure to blue light. This temporal control was investigated by monitoring the polymerization with intermittent exposure to blue LED light. As shown in FIGURE 2, polymerization ceased in the dark and was reinitiated upon exposure to blue light. Specifically, little to no further conversion of monomer in the dark was observed as determined by ¹H NMR spectroscopy, and no significant changes in M_n was observed as judged by GPC analysis. This suggested that the pyrylium cation and vinyl ether form a dynamic redox couple and that the radical cation chain end is reductively quenched during the polymerization. Furthermore, the correlation between % conversion and increasing M_n during the alternating light/dark cycles was consistent with chain end activation/deactivation cycles, as opposed to photo-mediated initiation of new polymer chains upon re-exposure to light.

[0193] The organic-initiated ROMP approach utilizes one-electron oxidation of electron-rich vinyl ethers to initiate the process, which can be achieved either electrochemically or via photoredox processes. A photoredox approach enabled high yields of polymerization in short reaction times under mild conditions.

Synthetic procedure

10

20

30

45

50

[0194] Acetonitrile (CH₃CN) and nitromethane (CH₃NO₂) were dried over calcium hydride and distilled prior to use. Dichloromethane (CH₂Cl₂) and tetrahydrofuran (THF) were obtained from a solvent purification system. ¹H and ¹³C NMR spectra were recorded on Bruker AVance 300 MHz or 500 MHz spectrometers. Chemical shifts are reported in delta (δ) units, expressed in parts per million (ppm) downfield from tetramethylsilane using the residual protio-solvent as an internal standard (CDCl₃, ¹H: 7.27 ppm and ¹³C: 77.0 ppm). Data are presented as follows: chemical shift, multiplicity (s = singlet, d = doublet, dd= doublet of doublets, br = broad, m = multiplet), coupling constants (Hz) and integration. UV-visible spectroscopy data were collected on an Agilent 8453 UV-vis spectrophotometer. Gel permeation chromatography (GPC) was performed using a GPC setup consisting of: a Shimadzu pump, 3 in-line columns, and Wyatt light scattering and refractive index detectors with tetrahydrofuran (THF) as the mobile phase. Number-average molecular weights (M_n) and weight-average molecular weights (M_w) were calculated from light scattering. All polymerizations were carried out under an inert atmosphere of nitrogen in standard borosilicate glass vials purchased from Fisher Scientific with magnetic stirring unless otherwise noted. Irradiation of photochemical reactions was done using a 2 W Miracle blue LED indoor gardening bulb purchased from Amazon. Electrochemical experiments were performed on a CH Instruments 1100B potentiostat using a 25 mL 3-neck round bottom flask as an undivided cell. Cyclic voltammetry experiments were done using a glassy carbon working electrode (3 mm diameter), Pt counter electrode (Premier Lab Supply), and Ag/0.01 M AgNO₃ (0.1 M tetrabutylammonium tetrafluoroborate in CH₃CN) reference electrode. Electro-organic ROMP experiments were done using a carbon fiber (Zoltek) working electrode, carbon fiber counter electrode, and Ag/0.01 M AgNO₃ (0.1 M tetrabutylammonium tetrafluoroborate in CH_3CN) reference electrode. T_a values were determined using a Perkin-Elmer DMA 8000. Analysis was performed on powdered samples held within material pockets supplied by Perkin-Elmer. Samples were analyzed using the Single-Cantilever Geometry Fixture with the following settings: heating rate = 3.0 °C/min, frequency = 1 Hz, static force = 1.0 N. Reported T_g values refer to the temperature corresponding to the peak of the tan delta curve. Initiators 2a and 2b were prepared according to literature procedures. The pyrylium tetrafluoroborate (3a) and perchlorate (3b) salts were prepared according to literature procedures. All other reagents and solvents were obtained from commercial sources and used as received unless otherwise noted.

General procedure for the preparation of initiators 2a and 2b

[0195]

5

10

20

25

30

50

55

Scheme 8. Preparation of initiators 2a and 2b.

$$Ph_3P$$
 OMe $\frac{1) \text{ KOtBu, THF}}{2)}$ R OMe + R OMe

[0196] A solution of potassium tert-butoxide (5.1 g, 45.0 mmol, 1.5 equiv.) in 10 mL of dry THF was slowly added to a solution of (methoxymethyl)triphenylphosphonium chloride (15.4 g, 45.0 mmol, 1.5 equiv.) in 40 mL of dry THF. After stirring the red solution at 23° C for 45 min, a solution of the corresponding aldehyde (30.0 mmol, 1.0 equiv.) in 10 mL of dry THF was slowly added and allowed to stir at 23° C for an additional 2 h. The solvent was removed under vacuum and the residue was diluted with hexanes. The organic layer was washed with water (3 x 100 mL) and dried over Na_2SO_4 . The solvent was removed under reduced pressure and the resulting residue was purified by filtering through a plug of silica with diethyl ether as the eluent. In some cases, residual triphenylphosphine was removed by stirring overnight with 10 equiv of iodomethane and filtration through a plug of silica with diethyl ether as the eluent.

1-methoxy-4-phenyl butene (2a)

[0197]

NOM

(2a)

was prepared according to the above procedure in 92% yield (1:2 *cis* to *trans* ratio); spectral data were consistent with literature values.

35 2-cyclohexyl-1-methoxyethylene (2b)

[0198]

40 OMe (2b)

was prepared according to literature procedures in 82% yield (1:2 *cis* to *trans* ratio). ¹H NMR (300 MHz, CDCl₃) δ = 6.29 (d, J = 12 Hz, 1 H, trans) 5.79 (d, J = 6.0 Hz, 0.5 H, cis) 4.70 (dd, J = 6.0 Hz, 9 Hz, 1 H, trans) 4.24 (dd, J = 3 Hz, 6 Hz, 0.5 H, cis) 3.58 (s, 1.5 H, cis) 3.50 (s, 3 H, trans) 2.42 (m, 0.5 H, cis) 1.88 (m, 1 H, trans) 1.68 (m, 4 H, cis/trans) 1.16 (m, 9 H, cis/trans). ¹³C NMR (125 MHz, CDCl₃) δ = 145.7, 144.5, 113.4, 109.7, 59.5, 55.8, 36.9, 34.4, 35.4, 33.4, 26.2, 26.1, 26.0.

25

Scheme 9. Preparation of 2,4,6-tri-(p-methoxyphenyl) pyrylium tetrafluoroborate (3a)

$$BF_3 \cdot Et_2O$$

MeO

MeO

MeO

 $BF_3 \cdot Et_2O$
 BF_4

OMe

[0199] To a flask containing p-anisaldehyde (6.1 mL, 50.3 mmol, 1 equiv) and p-acetylanisole (15.07 g, 100.4 mmol, 2 equiv) was added BF $_3$ •Et $_2$ O (15.0mL, 121.5 mmol, 2.4 equiv) dropwise over 5 min. The solution was heated in an oil bath set to 100 °C. After 2 h, the reaction was removed from heat. Once at room temperature, the crude material was diluted with acetone (200 mL) and Et $_2$ O (250 mL) and filtered to give a rust-colored solid. The solids were washed with warm acetone (175 mL) and dried under vacuum to give the pyrylium tetrafluoroborate as an orange solid (5.01 g, 20%). Spectral data matched those previously reported.

2,4,6-tri-(p-methoxyphenyl) pyrylium perchlorate (3b)

[0200]

20

25

30

35

40

50

55

$$\begin{array}{c}
OMe \\
\downarrow \\
CIO_4
\end{array}$$

$$OM \\
OM \\
(3b)$$

was prepared according to literature procedures in 10% yield, spectral data were consistent with literature values.

General procedure for cyclic voltammetry of initiators 2a - 2c

[0201] The general procedure was as follows: In a drybox, a 3-neck round bottom flask was charged with a magnetic stir bar, anhydrous CH_3NO_2 (15 mL), and lithium perchlorate (15.0 mmol). The indicated initiator (0.075 mmol) was then added to the mixture. The flask was equipped with a glassy carbon anode (3 mm diameter), Pt basket cathode, and $Ag/AgNO_3$ reference electrode (0.01 M $AgNO_3/0.1$ M tetrabutylammonium tetrafluoroborate in CH_3CN) and then the apparatus was sealed using rubber septa. The electrochemical cell was then removed from the drybox and the solution was placed under a positive pressure of N_2 and stirred at room temperature. Stirring was stopped prior to connecting to the potentiostat. The cyclic voltammograms for the initiators were typically taken from 0.5 V to 2.5 V vs. $Ag/AgNO_3$ with a sweep rate of 0.10 V/s. Ferrocene (0.15 mmol) was added as an internal standard after each voltammogram. All potentials are reported in V vs. SCE.

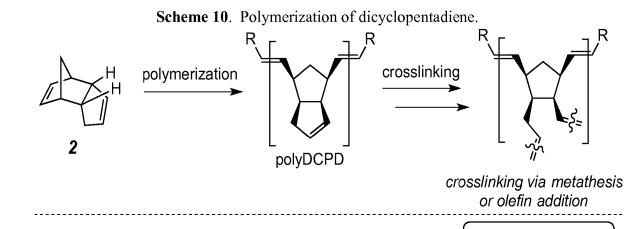
[0202] General procedure for electro-organic ROMP. Electro-organic ROMP experiments were done using a carbon fiber (Zoltek) working electrode, carbon fiber counter electrode, and Ag/0.01 M $AgNO_3$ (0.1 M tetrabutylammonium tetrafluoroborate in CH_3CN) reference electrode in a double junction chamber. The carbon fiber electrodes were 40 mm in length (excluding the copper lead) and 15 mm of the carbon fiber was submerged in the electrolyte solution during electrolysis. In the drybox, a 3-neck round bottom flask was charged with a magnetic stir bar, lithium perchlorate (15.0 mmol) and CH_3NO_2 (15 mL). To the solution was added norbornene (23.2 mmol, 100 equiv.) and initiator (0.23 mmol, 1 equiv.). The electrodes were attached onto the cell and the apparatus was sealed using rubber septa. The electrochemical cell was then removed from the dry box and placed under a positive pressure of N_2 . The electrodes were connected to the potentiostat and bulk electrolysis with a constant potential of 1.43 V vs SCE was started with constant stirring. After the current reached background levels, the electrolysis was stopped and hydroquinone (2.3 mmol, 10 equiv) was then added to the solution. The carbon fiber electrodes were removed and soaked in THF. The quenched solution and electrode soaks

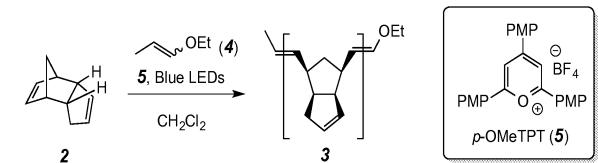
were added to rapidly stirring methanol to precipitate the polymer. The resulting solids were redissolved in THF, passed through a syringe filter (2 μ m) to remove any carbon fiber particulates, and reprecipitated into methanol. The resulting solids were dried under vacuum and analyzed by ¹H NMR spectroscopy and GPC.

[0203] General procedure for photoredox mediated ROMP. All polymerizations were set up in a drybox under an inert atmosphere of nitrogen. Irradiation of the sealed vials with blue LEDs was done outside of the drybox. A 2-dram vial was equipped with a magnetic stir-bar, 2,4,6-tri-(p-methoxyphenyl) pyrylium tetrafluoroborate (3a, 3.0 - 25.0 mol %), and norbornene (48 - 1000 equiv. relative to 2). The solvent, CH_2Cl_2 (2.2 - 2.5 M), and initiator 2 (1 equiv.) were added to the vial. The vial was sealed with a Teflon-coated screw cap and brought out of the drybox. The mixture was irradiated for the indicated period of time. The reaction progress and M_n were monitored by 1H NMR spectroscopy and GPC, respectively. Upon completion, hydroquinone (5 equiv.) was added to the reaction mixture, which was then passed through a short plug of alumina. The polymer solution was then added dropwise into an excess of methanol (MeOH) or dry acetonitrile (CH_3CN) to cause precipitation of the polymer. Note: As a control, the same setup was performed outside the drybox, and then the reaction mixture sparged with N_2 for 15 minutes before irradiation. This led to a significant decrease in polymer formation (only \sim 30 % conversion of monomer as determined by 1H NMR spectroscopy) compared to reactions setup inside the drybox.

Example 3. Photoredox ROMP with co-monomers

[0204] Polymers with more complex functionality led to examination of a variety of other common ROMP monomers. Specifically, the polymerization of dicyclopentadiene (DCPD, 2, Scheme 10) was examined, which in its commercially available form exists as almost exclusively the endo isomer. While catalyst systems can enable the preparation of linear polyDCPD (2 \rightarrow 3, Scheme 10, bottom), many catalysts form insoluble, crosslinked polymer networks resulting from either olefin metathesis or olefin addition reactions of the cyclopentene moiety (Scheme 10, top). Furthermore, the nature of the crosslinking process means that the metal catalysts used for polymerization remain trapped inside the final polymer, which can be problematic in some cases.





[0205] Monomer 2 was found to be successfully polymerized using enol ether initiator 4 and photoredox mediator 5 upon exposure to blue light in an organic-initiated ROMP (Scheme 2, bottom). Under these conditions, conversion of 2 was found to be only 15%, and the polymer that was formed was of low molecular weight (Mn = 3.8 kDa; θ = 1.1). In comparison, the use of norbornene (1) as monomer often leads to conversions of >80%. The polyDCPD (3) remained soluble in common organic solvents (e.g., THF, CH_2CI_2 , and toluene) and showed no signs of crosslinking by 1H-NMR analysis. **[0206]** Attempts to optimize this polymerization to achieve higher conversion are outlined in Table 3. Notably, independently varying the initial monomer concentration (entries 1-3) or pyrylium (5) loading (entry 4) resulted in no

significant changes in conversion. Carrying out the polymerization at 4 °C gave a slight improvement, as did decreasing the initial ratio of monomer to initiator. In all cases, the molecular weights of the final polymer remained low.

Table 3. Polymerization of dicyclopentadiene.

Entry	2:4:5 ^[a]	[2] ₀ (M) ^[b]	Temp. (°C)	Conversion ^[c]
1	102:1:0.07	1.75	23	15%
2	100:1:0.07	2.80	23	13%
3	101:1:0.07	1.26	23	13%
4	102:1:0.25	1.75	23	15%
5	100:1:0.07	1.75	4	19%
6	51:1:0.07	1.76	23	20%

[[]a] Initial molar ratio of 2, 4, and 5.

5

10

15

20

30

50

[0207] Initially, the presence of monomer 2 was evaluated to determine whether its presence was detrimental to the polymerization of norbornene (1). Copolymers derived from monomers 1 and 2 were prepared using a feed ratio of monomers (i.e., 1+2) to initiator 4 of 100:1. FIGURE 3 shows good correlation of endo-DCPD (2) loading on the composition and Mn of the final polymer. Although the amount of 2 incorporated is less than the theoretical amount based upon the feed ratio, the % incorporation shows a consistent increase with increasing endo-DCPD content. As expected, higher initial loadings of monomer 2 led to a significant decrease in the Mn of the final polymer from 18.8 kDa (~10% DCPD) to 4.1 kDa (~90% DCPD) which were accompanied by significantly lower conversions and isolated yields. Nevertheless, this highlights how organic-initiated ROMP can be amenable to the tuning of materials properties (e.g., Tg) through the preparation of copolymers. Interestingly, in contrast to the low conversion of 2 at high loadings of this monomer, when small amounts of 2 were present, this monomer displayed conversions of 50-60% suggesting that decreased reactivity of the monomer was not the cause for the low conversions observed with higher DCPD loadings.

[0208] In an attempt to better understand the reasons for the low conversion, two potential explanations were considered. The first scenario involves the bulk of the extra cyclopentene ring in monomer 2 (compared with norbomene 1), which can deter polymerization from proceeding to high conversion through a steric effect. The radical cation likely approaches the monomer's convex face opposite this cyclopentene ring, making steric interactions in the monomer unlikely for decreased conversion. However, the endo orientation results in a ring-opened structure where the propagating chain end is syn to the cyclopentene ring, which may attenuate the rate of new monomer incorporation (FIGURE 4, top). Alternatively, the presence of the second olefin in the monomer may create problems due to its proximity to the propagating radical cation (FIGURE 4, middle). The intramolecular reactivity of neighboring olefins with radical cation intermediates is well-documented, and even utilized for the development of cascade type reactivity. Notably, these undesired side reactions could arise either during formation of the cyclobutane radical cation (C, Scheme 3, above), or through the subsequent ring opened intermediate (E, Scheme 3, above) during propagation.

[0209] To probe these two possible pathways, monomers 6-8 were prepared in order to compare their performance with 2 (FIGURE 4, bottom). The exo-configuration of monomer 6 would be expected to perform well if the problem was strictly sterics, whereas monomers 7 and 8 were chosen to remove the possibility of any undesired intramolecular reactivity with the extra olefin. Previous studies on the polymerization of monomers 2, 6, and 7 using Ru-alkylidene ROMP catalysts have found that exo-DCPD (6) polymerizes approximately 20 times faster than endo-DCPD (2). Thus, while this effect is primarily steric in nature, coordination of the Ru catalyst by the cyclopentyl olefin does occur to a small extent. In contrast, it is believed that the poor behavior of 2 under organic-initiated conditions was most likely due to undesired reactivity of the proposed radical intermediates given the known proclivity of these species to undergo intramolecular reactions with olefins.

[0210] Monomers 2, 6, 7, and 8 each undergo polymerization to varying degrees (FIGURE 5). The exo-DCPD monomer (6) was found to perform poorly (< 20% conversion), analogously to what was observed with the endo-isomer. In contrast, the endo-dihydroDCPD monomer (7) performed significantly better, typically reaching 50-60% conversion. Unfortunately, the resulting polymer appeared to display poor solubility in dichloromethane, which likely contributes to conversion not proceeding past this point. Finally, exo-dihydroDCPD (8) performed exceptionally well, reaching > 90% conversion. This level of conversion is on par with what was previously seen with the parent norbornene monomer 1. Taken together, the success of monomers 7 and 8 as well as the poor performance of monomers 2 and 6 suggested that the low conversions of the latter monomers can be ascribed to the extra unsaturation in the cyclopentene moiety and not steric impedance.

[[]b] Initial concentration of **2** in CH₂Cl₂.

[[]c] Conversion determined by comparison of monomer and polymer peaks by ¹H-NMR spectroscopy.

[0211] Based on control experiments, it does not appear that the photoredox-mediated ROMP is intolerant of all olefinic groups. When norbornene (1) was polymerized using enol ether 4 in the presence of cyclopentene (ratio of cyclopentene:1:4 = 25:75:1), a conversion of 79% was observed for norbornene, consistent with examples where cyclopentene is absent. Additionally, no incorporation of cyclopentene was observed by 1H-NMR analysis of the final polymer. This provides further evidence that the conversion-limiting process in the polymerizations of endo- and exo-DCPD is an intramolecular process. In addition to demonstrating new monomers that can be utilized for organic-initiated ROMP, these studies also provide insight into mechanistic considerations with regards to future monomer design.

[0212] The ability to prepare linear polyDCPD that has not undergone crosslinking is beneficial in terms of the processability of the material and the ability to control when crosslinking occurs. Current technologies typically utilize Reaction Injection Molding (RIM), where the monomer and initiator are injected directly into a mold and polymerize to form a molded, crosslinked polymer. The possibility of isolating the linear polymer and then carrying out a subsequent reaction to form crosslinked polyDCPD under fully organic-initiated conditions was explored (FIGURE 6). Thiol-ene reactivity was used to achieve the crosslinking due to the mild conditions, high reactivities, and tunable product properties that have been demonstrated with this approach. Irradiation of a THF solution of polymer 3 in the presence of dithiol 9 and photoinitiator 10 with a hand held UV lamp (λ = 365 nm,) led to gelation within 30 minutes (FIGURE 6).

Materials and Methods

10

15

20

30

45

50

55

[0213] Dichloromethane (CH₂Cl₂) and tetrahydrofuran (THF) were obtained from a solvent purification system. ¹H and ¹³C NMR spectra were recorded on Bruker AVance 300 MHz or 500 MHz spectrometers. Chemical shifts are reported in delta (δ) units, expressed in parts per million (ppm) downfield from tetramethylsilane using the residual protio-solvent as an internal standard (CDCl₃, ¹H: 7.26 ppm and ¹³C: 77.0 ppm). Data are reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, dd= doublet of doublets, br = broad, m = multiplet), coupling constants (Hz) and integration. Gel permeation chromatography (GPC) was performed using a GPC setup consisting of: a Shimadzu pump, 3 in-line columns, and Wyatt light scattering and refractive index detectors with tetrahydrofuran (THF) as the mobile phase. Number-average molecular weights (M_n) and weight-average molecular weights (M_w) were calculated from light scattering. All polymerizations were carried out under an inert atmosphere of nitrogen in standard borosilicate glass vials purchased from Fisher Scientific with magnetic stirring unless otherwise noted. Irradiation of photochemical reactions was done using a 2 W Miracle blue LED indoor gardening bulb purchased from Amazon. T_q values were determined using a Perkin-Elmer DMA 8000. Analysis was performed on powdered samples held within material pockets supplied by Perkin-Elmer. Samples were analyzed using the Single-Cantilever Geometry Fixture with the following settings: heating rate = 3.0 °C/min, frequency = 1 Hz, static force = 1.0 N. Reported T_q values refer to the temperature corresponding to the peak of the tan delta curve. The pyrylium tetrafluoroborate (5) salt was prepared according to literature procedure. Monomer 2 was dissolved in Et₂O, filtered over neutral alumina, and concentrated prior to use. Tetrahydrofuran (THF) for crosslinking studies was filtered over neutral alumina and sparged with N_2 for 10 minutes prior to use. All other reagents and solvents were obtained from commercial sources and used as received unless otherwise noted.

Preparation of Monomers and Purity

40 [0214] Commercially available monomer 2 was found to contain approximately 2% of exo-isomer 6 by ¹H-NMR analysis. This material was dissolved in Et₂O, filtered over neutral alumina, and concentrated prior to use. Monomer 6 was prepared according Nelson, G. L.; Kuo, C.-L. Synthesis 1975, 105-106 and found to contain approximately 3% of *endo* isomer 2 by ¹H-NMR analysis. Monomer 7 was prepared according to Masjedizadeh, M. R. et al.,. J. Org. Chem. 1990, 55, 2742-2752 and found to contain < 2% of exo-isomer 8 by ¹H-NMR analysis.

[0215] Referring to Scheme 11, monomer **8** was prepared according to PCT publication WO2009/003711 with modifications. The material obtained using this method was found to contain approximately 8% of endo-isomer 7 based on ¹H-NMR analysis.

[0216] To a 200 mL flask was added endo-dicyclopentadiene **(2,** 40.73g, 308 mmol, 1 equiv) followed by HBr (48% aqueous, 68 mL, 601 mmol, 2 equiv). The reaction was heated to 70 °C and maintained for 14 hours. After cooling to room

temperature, the mixture was diluted with water (150 mL), and extracted with Et_2O (3 x 125 mL). The combined organic layers were washed with saturated aqueous $NaHCO_3$ (75 mL) and dried over MgSO4. The product was purified by distillation under reduced pressure (52 °C, 250 mtorr) to give **SI-1** as a pale-yellow oil (50.0 g, 76% yield), which was utilized for subsequent transformations.

[0217] A flask containing HBr adduct SI-1 (15.03g, 70.5 mmol, 1 equiv) 10% Pd/C (1.5g, 1.41 mmol Pd, 0.02 equiv) and EtOAc (30 mL) was evacuated and backfilled with H₂ (balloon) a total of five times and allowed to stir under H₂. The reaction was periodically analyzed using ¹H NMR spectroscopy until no more olefin signals were present (if conversion ceased prior to complete disappearance, additional Pd/C was added). Once the olefin signals were gone, the system was evacuated and backfilled with N₂ a total of 4 times and the liquid filtered over celite (EtOAc eluent). The solvent was removed under reduced pressure and the crude material taken directly onto the next step.

[0218] To a flask containing the crude oil was added KOH (11.9 g, 212.1 mmol, 3 equiv) as a solution in 95% EtOH (45 mL). The mixture was heated to reflux. After 21 hours, the reaction was cooled to room temperature, diluted with water (150 mL) and extracted with $Et_2O(2 \times 100 \text{ mL})$. The combined organic layers were washed with water $(3 \times 75 \text{ mL})$ and dried over MgSO₄. The crude product was purified by distillation under reduced pressure (51-53 °C, 10 torr) to give **8** as a colorless oil (4.91 g, 52 % yield, 2 steps). Spectral data matched those previously reported.

Copolymerizations utilizing norbornene and endo-dicyclopentadiene

[0219]

10

20

25

30

35

Scheme 12. Norbornene and endo-dicyclopentadiene copolymerization.

[0220] General Procedure: A 2 dram vial containing a magnetic stirbar and p-OMeTPT (5, 1.6 mg, 0.003 mmol, 0.07 equivs) was taken into a glovebox maintained under nitrogen atmosphere. To this vial were added norbornene (1) and endo-dicyclopentadiene (2) (1 + 2 = 4.5 mmol, 100 equiv). Dichloromethane (2 mL) was added, followed by ethyl propenyl ether (5 μ L, 0.045 mmol, 1 equiv). The vial was capped, removed from the glovebox, and irradiated with blue LEDs (λ = 450-480 nm) for 5 hours. A small scoop of hydroquinone was added to the vial and an aliquot taken for analysis to determine conversion of each monomer. The contents of the vial were then diluted with CH₂Cl₂ and filtered over neutral alumina to remove any remaining p-OMeTPT. This CH₂Cl₂ mixture was concentrated down to approximately 5 mL and precipitated into MeOH (100 mL). The solids were collected by filtration, washed with MeOH, and dried under reduced pressure to give the final polymer.

Table 4. Conversion and molecular weight data for norbornene and endo-DCPD copolymers.

Entry	norbornene (NB, 1) (equivs)	DCPD (2) (equivs)	% DCPD (feed)	% DCPD (polymer)	M _n (kDa)	Đ	NB conv. (%)	DCPD conv (%)	Total conv. (%)	Isolated Yield (%)	T _g (°C)
1	90	10	10	6	18.8	1.6	81	60	79	66	54.5
2	80	21	20.8	14	12.9	1.3	72	51	68	43	62.4
3	73	30	29.1	20	9.8	1.4	54	35	49	38	66.2
4	62	40	39.2	27	7.6	1.3	36	32	35	30	71.7
5	50	50	50	33	7.5	1.3	33	22	29	21	78.4
6	40	60	60	45	6.2	1.2	40	22	29	17	84.6
7	30	71	70.3	53	5.5	1.2	37	16	22	16	91.4
8	24	83	77.6	61	5.4	1.2	36	15	20	11	98.9
9	11	91	89.2	77	4.1	1.2	41	10	13	9	110.8

40

50

55

(continued)

5	Entry	norbornene (NB, 1) (equivs)	DCPD (2) (equivs)	% DCPD (feed)	% DCPD (polymer)	M _n (kDa)	Đ	NB conv. (%)	DCPD conv (%)	Total conv. (%)	Isolated Yield (%)	T _g (°C)	
	10	0	100	100	100	3.8	1.1		14	14	9	118.3	

Tracking Monomer Conversion vs. Time

10

15

20

25

30

35

40

55

[0221] General Procedure: A 2 dram vial containing a magnetic stirbar and p-OMeTPT (5, 1.6 mg, 0.003 mmol, 0.07 equivs) was taken into a glovebox maintained under nitrogen atmosphere. To this vial were added the desired monomer (4.5mmol, 100 equiv). Dichloromethane (2 mL) was added, followed by ethyl propenyl ether (5 μ L, 0.045 mmol, 1 equiv). The vial was capped, removed from the glovebox, and irradiated with blue LEDs (λ = 450-480 nm). Aliquots were removed at the designated time points by opening the vial under a heavy cone of N₂ and diluted with CDCl₃ saturated with hydroquinone for ¹H-NMR analysis. Time points refer to the total amount of irradiation time experienced by the sample.

Table 5. Conversion vs. time data for monomers 2, 6, 7, and 8.

Time (min.)	H 2	F G	7 H	HH 8		
1	2%	4%	7%	15%		
3	5%	5%	17%	33%		
6	7%	8%	26%	53%		
10	11%	9%	34%	70%		
15	14%	12%	41%	78%		
20	15%	15%	45%	85%		
25	18%	17%	49%	88%		
30	19%	20%	50%	90%		
40	24%	21%	51%	93%		
50	25%	22%	53%	96%		
75	28%	22%	54%	96%		

UV-promoted thiol-ene crosslinking of polynorbornene derivatives

[0222]

[0223] General Procedure: To a vial containing the polymer dissolved in THF which had been filtered over neutral alumina and then sparged with N_2 (100 mg/mL) was added 2,2'-(Ethylenedioxy)diethanethiol (**9**, 0.25 equiv based on monomer molecular weight) and 2,2-dimethoxy-2-phenylacetophenone (0.1 equiv based on monomer molecular weight). The vial was irradiated using a handheld UV lamp (4 W, λ = 365 nm) without stirring for 30 minutes. The solution was observed to have formed a gel and no longer flowed when the vial was inverted.

[0224] Thus, Example 3 demonstrates the ability to prepare linear, non-crosslinked polydicyclopentadiene using a photoredox-mediated organic-initiated ROMP procedure. The monomer, endo-DCPD, can also be copolymerized with norbornene to prepare polymers with varied amounts of cyclopentene units. The low conversion observed with this monomer was found to be due to the presence of the additional olefin moiety, and two partially hydrogenated monomers were shown to reach high conversion under the polymerization conditions. Finally, the ability to crosslink the polyDCPD was demonstrated in a manner that avoids metal-based reagents throughout the entire process.

Example 4. Polymerization of Silyl Ether-Containing Monomers and Deprotection of Resulting Polymers

10 Polymerization

[0225]

5

25

30

35

40

50

55

$$\begin{array}{c} Ar \\ Ar \\ \bigcirc BF_4 \\ Ar \\ \bigcirc OEt \\ \hline Blue \ LEDs \\ \hline CH_2Cl_2 \\ \end{array}$$

[0226] A vial containing 2,4,6-tris(4-methoxyphenyl)pyrylium tetrafluoroborate (1.5 mg, 0.003 mmol) and a stirbar was taken into an inert atmosphere glovebox. Norbornene (324.3 mg, 3.44 mmol) was added, followed by bicyclo[2.2.1]hept-5-en-2-yl)methoxy)(tert-butyl)dimethylsilane (273.0 mg, 1.14 mmol), dichloromethane (2 mL), and ethyl propenyl ether (5 μ L, 0.045 mmol). The vial was sealed and removed from the glovebox. The vial was irradiated with blue LEDs for 2 hours. The reaction mixture was filtered over neutral alumina using dichloromethane. The polymer was precipitated into methanol to give the final polymer (260.0 mg, 44% yield) as a white, fluffy solid. NMR analysis showed that the TBS ether content was ~17%.

Deprotection

[0227]

[0228] To a solution of the TBS ether containing polymer (99.5 mg) in THF (8 mL) at 0 °C was added tetra-n-butylammonium fluoride (TBAF, 1.0M in THF, 200 μL). After 3 hours, the reaction was concentrated, and the residue washed repeatedly with methanol to provide the final polymer (49.5 mg).

Claims

1. A method of making a polymer by ring-opening metathesis polymerization (ROMP) comprising:

providing a reaction mixture comprising

a monomer that comprises a cycloalkene moiety exhibiting ring strain, an organic initiator that comprises a vinyl ether moiety, and a photoredox mediator capable of facilitating electron transfer between the organic initiator and the monomer, and

exposing the reaction mixture to light to activate the organic initiator by oxidation, whereby the activated organic initiator is effective to polymerize the monomer to provide a polymer having constitutional units derived from the monomer.

- 5 2. The method of Claim 1, wherein the photoredox mediator is selected from pyrylium salts and acridium salts.
 - 3. The method of Claim 2, wherein the mediator is

a compound of Formula (VI)

10

15

 R_6 + $O_ R_6$ Y

20

(VI)

25

- wherein R_6 is each independently selected from C1-20 alkyl, C2-20 alkenyl, phenyl, aryl, and heteroaryl groups, and
- Y⁻ is a counter ion selected from BF₄⁻ and ClO₄⁻.
- **4.** The method of any of the preceding claims, wherein the monomer is selected from norbornene, cyclobutene, cyclooctene, cyclodecene, and cyclododecatriene.

Patentansprüche

1. Verfahren zur Herstellung eines Polymers durch Ringöffnungsmetathesepolymerisation (ROMP), umfassend:

Bereitstellen eines Reaktionsgemischs, umfassend

ein Monomer, das einen Cycloalken-Anteil umfasst, der eine Ringspannung aufweist, einen organischen Initiator, der einen Vinylether-Anteil umfasst, und einen Photoredox-Mediator, der dazu fähig ist, den Elektronentransfer zwischen dem organischen Initiator und dem Monomer zu erleichtern, und

45

35

40

- Aussetzen des Reaktionsgemischs gegenüber Licht, um den organischen Initiator durch Oxidation zu aktivieren, wodurch der aktivierte organische Initiator dazu wirksam ist, das Monomer zu polymerisieren, um ein Polymer mit konstitutionellen Einheiten, die von dem Monomer abgeleitet sind, zu versehen.
- 2. Verfahren nach Anspruch 1, wobei der Photoredox-Mediator aus Pyryliumsalzen und Acridiumsalzen ausgewählt ist.
- 50 3. Verfahren nach Anspruch 2, wobei der Mediator

eine Verbindung der Formel (VI)

55

$$R_6$$
 R_6
 R_6
 R_6
 R_6
 R_6
 R_6
 R_6

10

15

20

25

30

35

5

ist, wobei R_6 jeweils unabhängig aus C1-20-Alkyl-, C2-20-Alkenyl-, Phenyl-, Aryl- und Heteroarylgruppen ausgewählt ist und

Y- ein Gegenion ist, das aus BF₄- und ClO₄- ausgewählt ist.

4. Verfahren nach einem dervorhergehenden Ansprüche, wobei das Monomeraus Norbornen, Cyclobuten, Cyclobuten, Cyclodecen und Cyclododecatrien ausgewählt ist.

Revendications

1. Procédé de fabrication d'un polymère par polymérisation par ouverture de cycle par métathèse (ROMP) comprenant :

la fourniture d'un mélange réactionnel comprenant

un monomère qui comprend une fraction cycloalcène présentant une contrainte de cycle, un initiateur organique qui comprend une fraction vinyléther, et

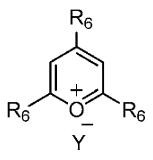
un médiateur photoredox capable de faciliter un transfert d'électrons entre l'initiateur organique et le monomère, et

l'exposition du mélange réactionnel à la lumière pour activer l'initiateur organique par oxydation, l'initiateur organique activé étant efficace pour polymériser le monomère afin de produire un polymère comportant des unités constitutives dérivées du monomère.

- 2. Procédé selon la revendication 1, dans lequel le médiateur photoredox est sélectionné parmi des sels de pyrylium et des sels d'acridium.
- **3.** Procédé selon la revendication 2, dans lequel le médiateur est

un composé ayant la formule (VI)

45



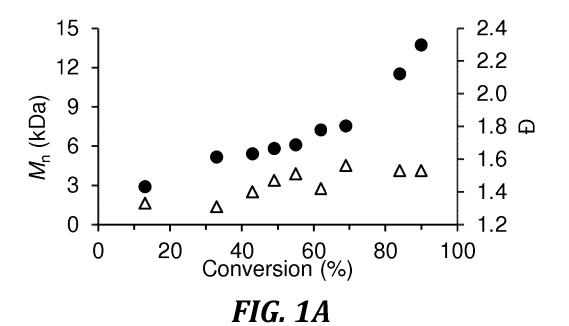
55

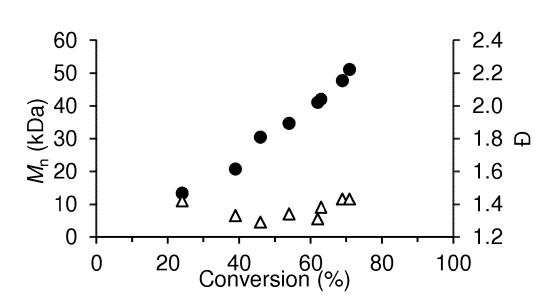
50

V1)

,

dans laquelle chaque groupe R_6 est sélectionné indépendamment parmi des groupes alkyle C1-20, alcényle C2-20, phényle, aryle et hétéroaryle, et Y- est un contre-ion sélectionné parmi BF₄- et ClO₄-. 4. Procédé selon l'une quelconque des revendications précédentes, dans lequel le monomère est sélectionné parmi le norbornène, le cyclobutène, le cyclooctène, le cyclodécène et le cyclododécatriène.





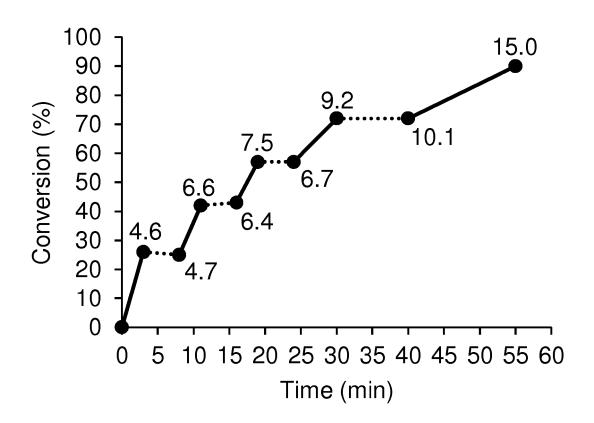
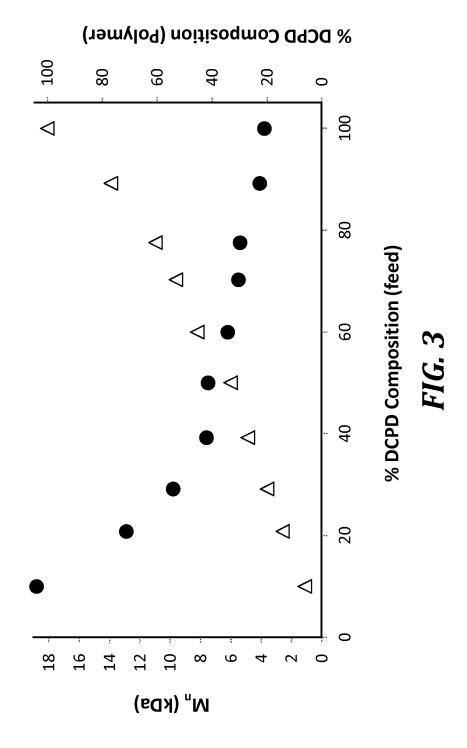
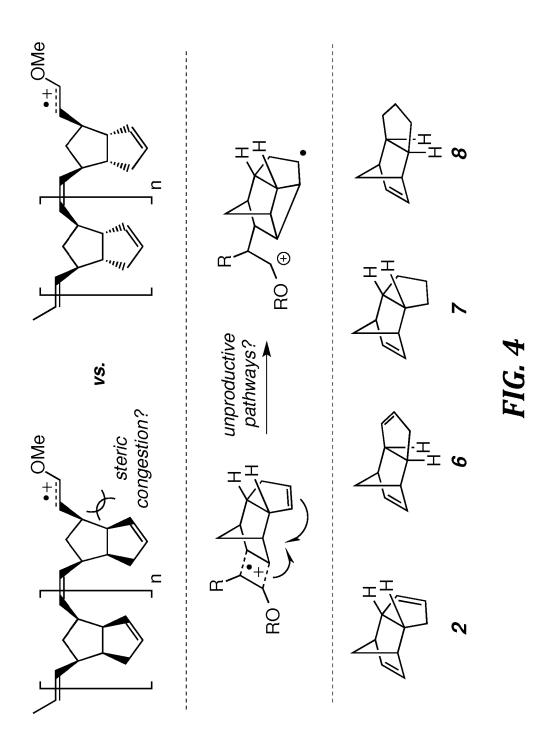


FIG. 2





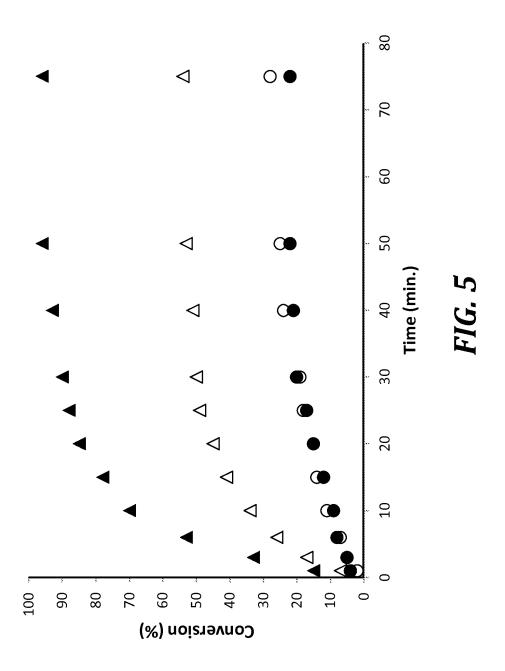




FIG. 6

REFERENCES CITED IN THE DESCRIPTION

This list of references cited by the applicant is for the reader's convenience only. It does not form part of the European patent document. Even though great care has been taken in compiling the references, errors or omissions cannot be excluded and the EPO disclaims all liability in this regard.

Patent documents cited in the description

• AT 4032858 **[0005]**

• WO 2009003711 A **[0215]**

Non-patent literature cited in the description

- AL-HASHIMI et al. RSC Adv., 2014, vol. 4, 43766-43771 [0005]
- **SOMMER**; **WECK**. Advanced Synthesis and Catalysis, 2006, vol. 348 (15), 2101-2113 [0005]
- NELSON, G. L.; KUO, C.-L. Synthesis, 1975, 105-106 [0214]
- MASJEDIZADEH, M. R. et al. J. Org. Chem., 1990, vol. 55, 2742-2752 [0214]